

# Advanced Laser and Photon Science レーザー・光量子科学特論E

## First principles simulations (1)

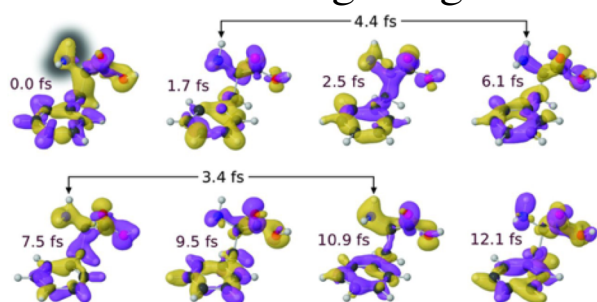
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<http://ishiken.free.fr/english/lecture.html>

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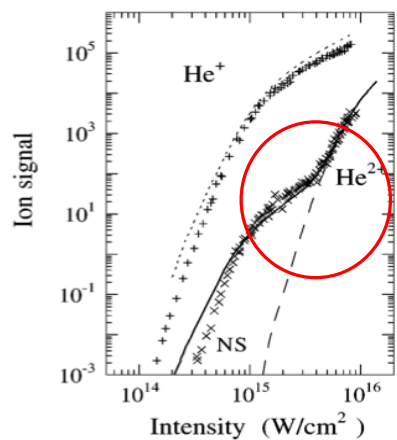
# High-field & Ultrafast science

## Ultrafast charge migration

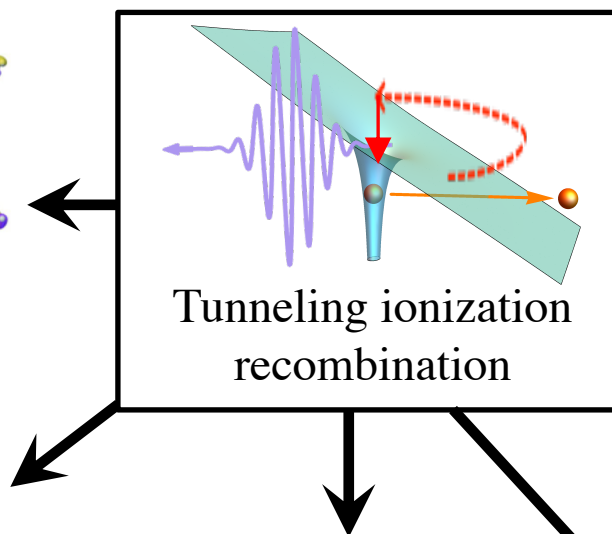


Calegari et al, 2014

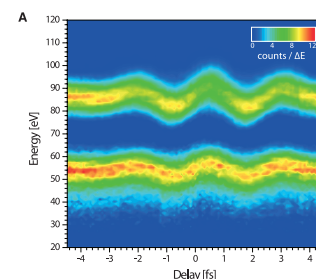
## Nonsequential double ionization



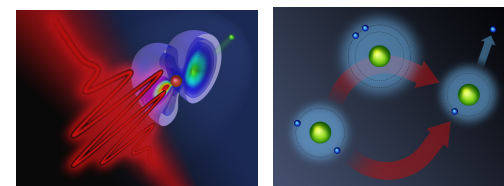
Watson et al, 1997



## Attosecond science

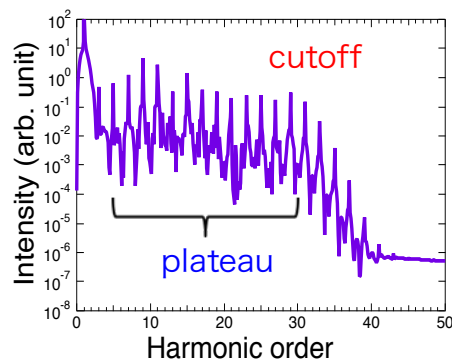


Schultze et al, 2010

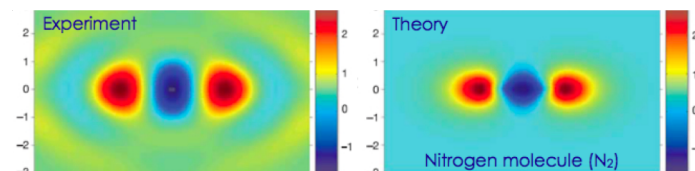


Kaldun et al, 2016,  
Ossiander et al, 2017

## High-order harmonic generation



## Molecular orbital tomography



Itatani et al, 2004

# Time-dependent Schrödinger Equation (TDSE)

## 時間依存シュレーディンガー方程式

$$\hat{H}\Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3, \dots, \vec{r}_N, t) = i \frac{\partial}{\partial t} \Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3, \dots, \vec{r}_N, t)$$

$$\hat{H}(t) = \sum_{i=1}^N \hat{h}(\mathbf{r}_i, t) + \sum_{i=1}^N \sum_{j>i}^N \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

$$\hat{h}(\mathbf{r}, t) = -\frac{1}{2}\nabla^2 - \sum_{A=1}^M \frac{Z_A}{|\mathbf{r} - \mathbf{R}_A|} + \mathbf{E}(t) \cdot \mathbf{r} \quad \text{or}$$

$$\hat{h}(\mathbf{r}, t) = \frac{1}{2}|-i\nabla + \mathbf{A}(t)|^2 - \sum_{A=1}^M \frac{Z_A}{|\mathbf{r} - \mathbf{R}_A|}$$

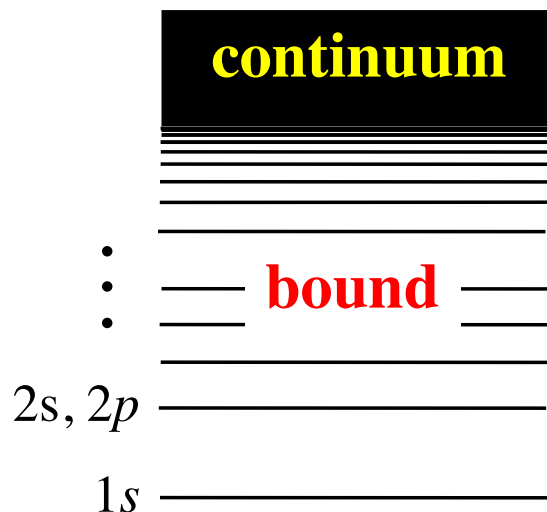
*First principles simulations*

## Hydrogen atom

$$i\dot{\psi}(\vec{r}, t) = \left[ -\frac{\nabla^2}{2} - \frac{1}{r} + zE(t) \right] \psi(\vec{r}, t)$$

Fixed orbital expansion?

**continuum**



$$\psi(t) = C_{1s}(t)\phi_{1s} + C_{2s}(t)\phi_{2s} + C_{2p}(t)\phi_{2p} + \cdots$$

$$i\dot{C}_I(t) = \sum_J \{ \epsilon_I \delta_{IJ} + E(t) z_{IJ} \} C_J(t)$$

Original PDE is transformed into ODE

Difficult to include highly-excited/continuum states

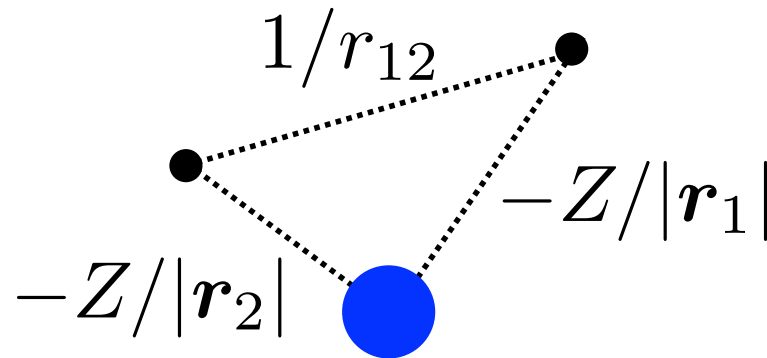
Directly numerical simulation of TDSE has been proved  
more advantageous for strong-field phenomena

高強度場現象を扱うにはTDSEを直接離散化した方が有利



## Helium atom

$$i\dot{\Psi}(\vec{r}_1, \vec{r}_2, t) = \left[ -\frac{\nabla_1^2}{2} - \frac{\nabla_2^2}{2} - \frac{2}{r_1} - \frac{2}{r_2} + (z_1 + z_2)E(t) + \frac{1}{r_{12}} \right] \Psi(\vec{r}_1, \vec{r}_2, t)$$



He atom: already the limit of direct TDSE simulation

He原子ですでに限界

To solve many-electron TDSE  
within a *reasonable* approximation

# First principles simulations

## ✓ Time-dependent density functional theory (TDDFT)

$$\rho(\mathbf{r}_1) = N \int d\mathbf{r}_2 \cdots d\mathbf{r}_N |\Psi(\mathbf{r}_1, \mathbf{r}_2, \cdots \mathbf{r}_N)|^2$$

Fast. Atoms, molecules, clusters, and solids 計算コストが低い、原子から固体まで

Accuracy NOT systematically improvable 計算精度を系統的に向上できない

Difficult to treat ionization process イオン化過程の記述が困難

Difficult to extract observables in general 一般の物理量の計算が困難

## ✓ Time-dependent wavefunction theory

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \cdots \mathbf{r}_N)$$

Demanding 計算コストが高い

Systematically improvable accuracy 計算精度を系統的に向上できる

Can properly describe excitation/ionization process イオン化過程を記述できる

Can access to observables 任意の物理量を計算できる

## ✓ Time-dependent reduced density matrix theory

## ✓ Time-dependent R-matrix-based approaches

# Time-dependent density functional theory (TDDFT)

Electron density as a basic variable, not total wave function

全電子波動関数ではなく電子密度を基本的な変数とする

Exact in principle, approximate in practical

原理的には厳密、実際は近似

$$\rho(\mathbf{r}, t) = 2 \sum_i^{N/2} |\psi_i(\mathbf{r}, t)|^2$$

Electron density Kohn-Sham orbital

$$i\dot{\psi}_i(\mathbf{r}) = \left\{ \hat{h}(\mathbf{r}, t) + \int d\mathbf{r}' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + V^{\text{XC}}[\rho](\mathbf{r}) \right\} \psi_i(\mathbf{r})$$

Hartree potential Exchange-correlation potential

TDDFT equation

# Time-dependent wavefunction theory

$$\Psi(\boldsymbol{r}_1, \boldsymbol{r}_2, \cdots \boldsymbol{r}_N)$$

# (1) Time-dependent Hartree (TDH)

$$\Psi_{\text{TDH}}(\mathbf{x}_1, \mathbf{x}_2, \cdots \mathbf{x}_N) = \psi_1(\mathbf{x}_1) \psi_2(\mathbf{x}_2) \cdots \psi_N(\mathbf{x}_N)$$

Total wavefunction as a  
(Hartree) product of orbitals

全波動関数を  
軌道関数の積(ハートリー積)で近似

$$\mathbf{x} = \{\mathbf{r}, \sigma\}$$

$\mathbf{r}$ : spatial coordinate 空間座標

$\sigma$ : spin coordinate スピン座標

$$\psi_{2i-1}(\mathbf{x}) = \psi_i(\mathbf{r})\alpha(\sigma)$$

$$\psi_{2i}(\mathbf{x}) = \psi_i(\mathbf{r})\beta(\sigma)$$

$$i\dot{\psi}_i(\mathbf{r}) = \left\{ \hat{h}(\mathbf{r}, t) + \int d\mathbf{r}' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \right\} \psi_i(\mathbf{r})$$

TD Hartree equation

Violate Pauli's  
antisymmetry principle

パウリの反対称性  
原理を満たさない

## (2) Time-dependent Hartree-Fock (TDHF)

$$\Psi_{\text{TDHF}}(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N) = \frac{1}{N!} \det \begin{bmatrix} \psi_1(\mathbf{x}_1) & \psi_1(\mathbf{x}_2) & \cdots & \psi_1(\mathbf{x}_N) \\ \psi_2(\mathbf{x}_1) & \psi_2(\mathbf{x}_2) & \cdots & \psi_2(\mathbf{x}_N) \\ \vdots & \vdots & \ddots & \vdots \\ \psi_N(\mathbf{x}_1) & \psi_N(\mathbf{x}_2) & \cdots & \psi_N(\mathbf{x}_N) \end{bmatrix}$$

$$\equiv |\psi_1 \psi_2 \cdots \psi_N|$$

Single Slater determinant

単一のスレーター行列式

$$i\dot{\psi}_i(\mathbf{r}) = \left\{ \hat{h}(\mathbf{r}, t) + \int d\mathbf{r}' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \right\} \psi_i(\mathbf{r}) - \sum_{j=1}^{N/2} \int d\mathbf{r}' \frac{\psi_j^*(\mathbf{r}') \psi_i(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \psi_j(\mathbf{r})$$

Exchange interaction

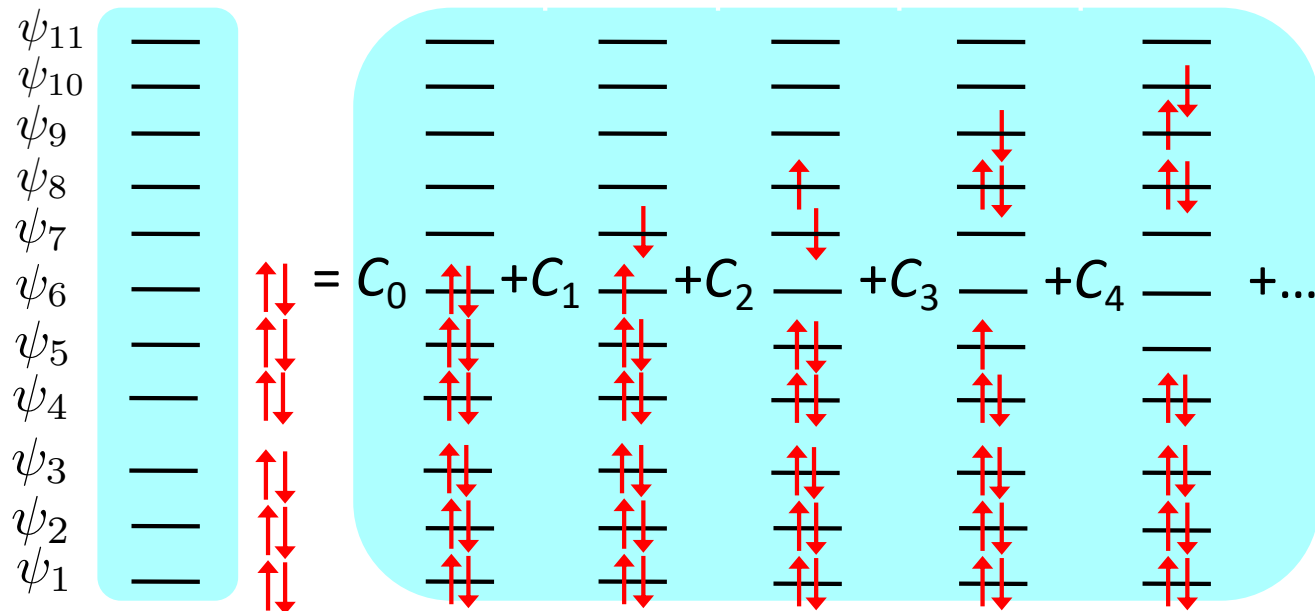
TD Hartree-Fock equation

# (3) Multiconfiguration TDHF (MCTDHF)

Superposition of  
Many Slater determinant  
(Multi Configurations)

多数のスレーター行列式  
(多配置)  
の線型結合

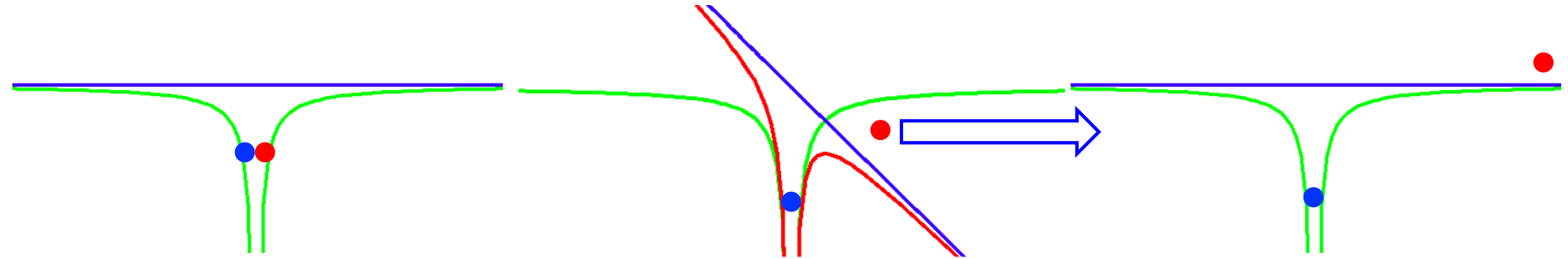
$$\Psi_{\text{MCTDHF}}(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N) = \sum_{i,j,\dots,k} C_{ij\dots k} |\psi_i \psi_j \dots \psi_k| \equiv \sum_I C_I \Phi_I$$



TDHF (and TDDFT)  
cannot appropriately  
describe **strong** ionization *process*.  
Why?



# Singlet two electron system (e.g, He)



**Spatial part** of TDHF wavefunction:

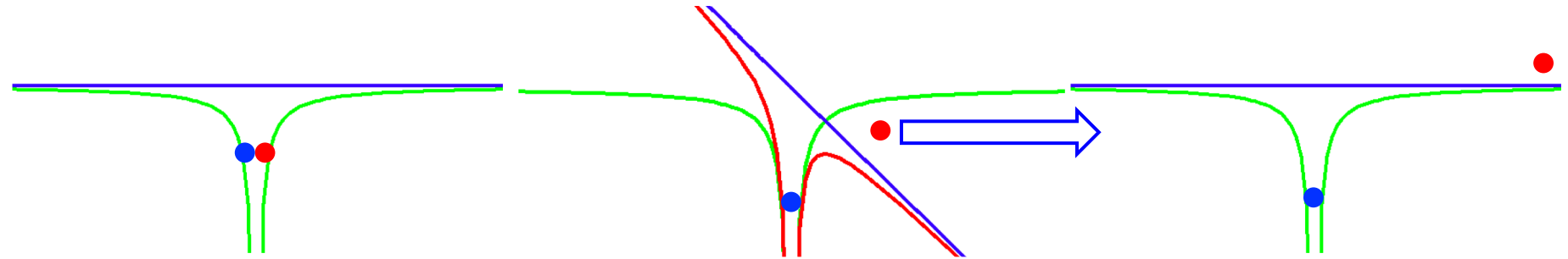
$$\Psi_{\text{HF}}(\mathbf{r}_1, \mathbf{r}_2) = \psi_1(\mathbf{r}_1)\psi_1(\mathbf{r}_2)$$

$$i\dot{\psi}_1(\mathbf{r}) = \left\{ \hat{h}(\mathbf{r}, t) + \int d\mathbf{r}' \frac{|\psi_1(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|} \right\} \psi_1(\mathbf{r})$$

TDHF and TDDFT, with only 1 orbitals for 2 electrons,  
**CANNOT** describe bound and ionized electrons

1軌道で2電子を記述するTDHFでは束縛電子と  
 電離電子を同時に記述できない

# Singlet two electron system (e.g, He)



At least two spatial orbitals are required  
 少なくとも2軌道必要

$$\Psi_{\text{GVB}}(\mathbf{r}_1, \mathbf{r}_2) \propto \psi_{\text{blue}}(\mathbf{r}_1)\psi_{\text{red}}(\mathbf{r}_2) + \psi_{\text{red}}(\mathbf{r}_1)\psi_{\text{blue}}(\mathbf{r}_2)$$

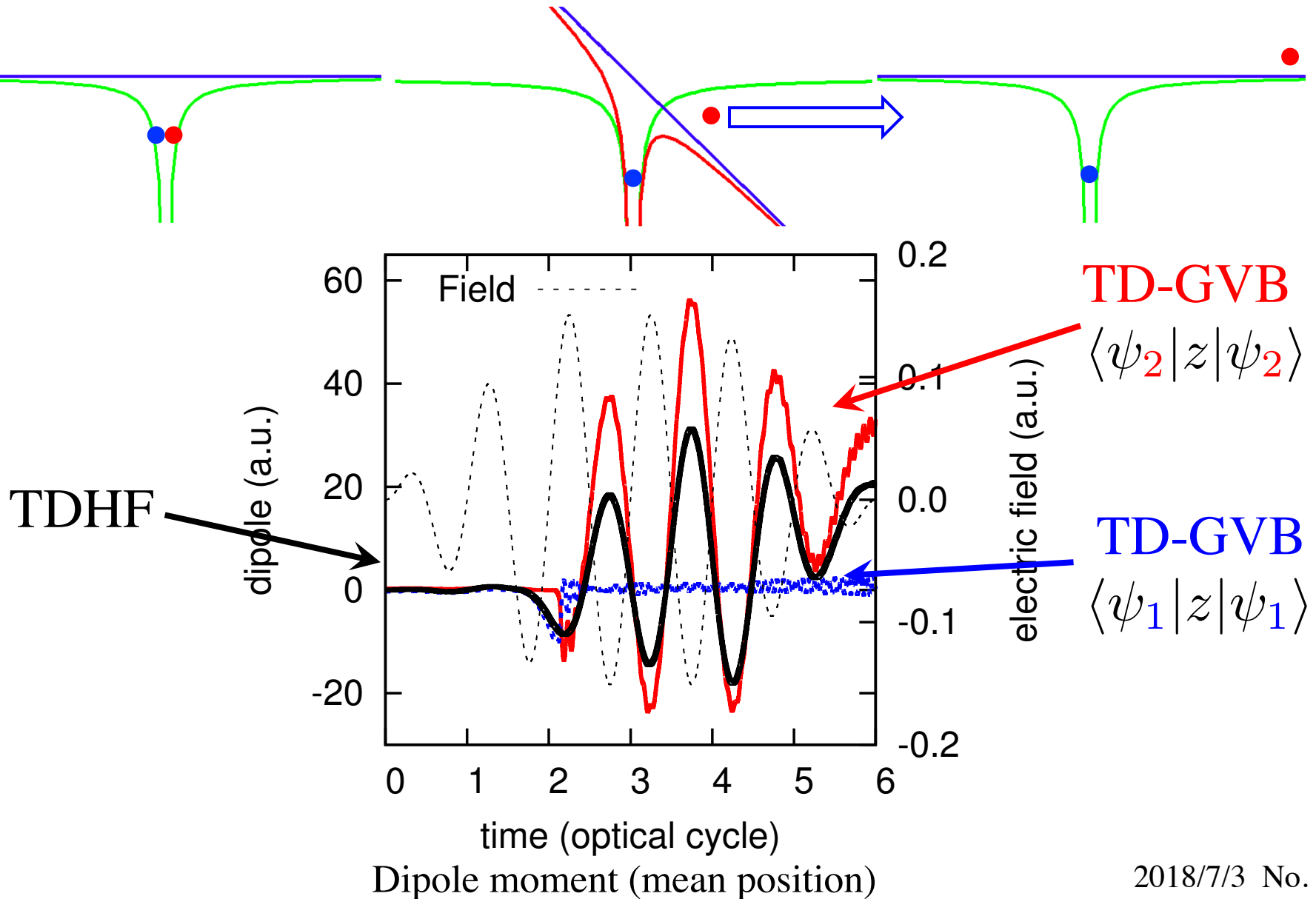
Generalized Valence Bond (Chemistry)

Extended Hartree-Fock (Physics)

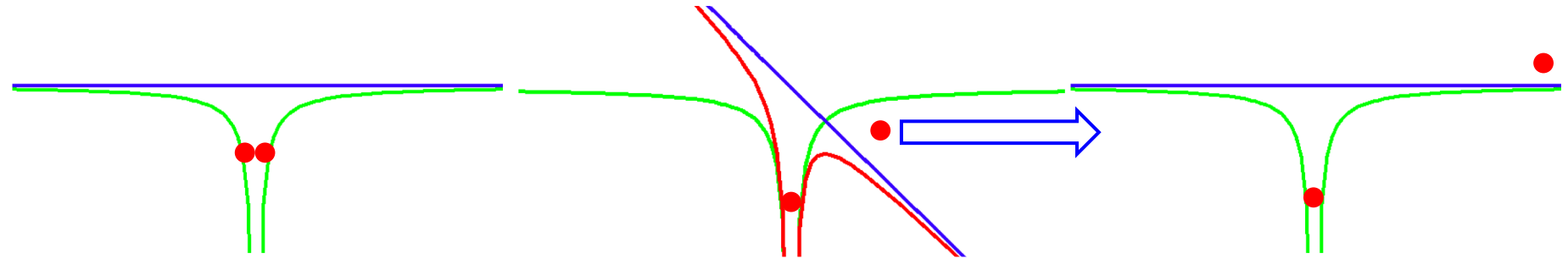
$$S_{12} \equiv \langle \psi_1 | \psi_2 \rangle \neq 0$$

$$dS_{12}/dt \neq 0$$

# Singlet two electron system (e.g, He)



# Singlet two electron system (e.g, He)



TD-GVB

$$\Psi_{\text{GVB}}(\mathbf{r}_1, \mathbf{r}_2) \propto \psi_{\text{blue}}(\mathbf{r}_1)\psi_{\text{red}}(\mathbf{r}_2) + \psi_{\text{red}}(\mathbf{r}_1)\psi_{\text{blue}}(\mathbf{r}_2)$$

$$S_{12} \equiv \langle \psi_1 | \psi_2 \rangle \neq 0$$

Good for  $N = 2$ , but

Too complicated for  $N > 2$

二電子で成功も、より多電子への拡張は困難

# Orbital redundancy

Linear transformation of orbitals,  
that leaves **total wavefunction invariant**.

$$\left( \begin{array}{cc} \uparrow & \downarrow \\ \hline \uparrow & \downarrow \end{array} + \begin{array}{cc} \downarrow & \uparrow \\ \hline \downarrow & \uparrow \end{array} \right)$$

$$\Psi_{\text{GVB}}(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{\sqrt{2}} [\psi_1(\mathbf{r}_1)\psi_2(\mathbf{r}_2) + \psi_2(\mathbf{r}_1)\psi_1(\mathbf{r}_2)]$$

$$= A_1 \phi_1(\mathbf{r}_1)\phi_1(\mathbf{r}_2) + A_2 \phi_2(\mathbf{r}_1)\phi_2(\mathbf{r}_2)$$

$$\psi_1\psi_2 + \psi_2\psi_1$$



**Equivalent! 等価**

$$A_1 \left( \begin{array}{c} \text{---} \\ \uparrow\downarrow \\ \text{---} \end{array} \right) + A_2 \left( \begin{array}{c} \uparrow\downarrow \\ \text{---} \\ \text{---} \end{array} \right)$$

$$\langle \phi_1 | \phi_2 \rangle = 0$$

$$A_1 = \frac{1 + |S_{12}|}{\sqrt{2(1 + |S_{12}|^2)}} \frac{S_{12}^*}{|S_{12}|},$$

$$A_2 = \frac{1 - |S_{12}|}{\sqrt{2(1 + |S_{12}|^2)}} \frac{S_{12}}{|S_{12}|},$$

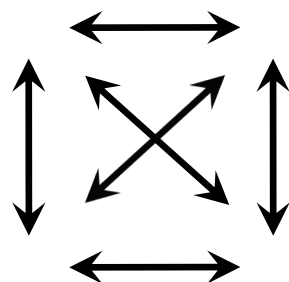
$$\phi_1 = \frac{1}{\sqrt{2(1 + |S_{12}|)}} \left\{ \frac{S_{12}}{|S_{12}|} \psi_1 + \psi_2 \right\}$$

$$\phi_2 = \frac{1}{\sqrt{2(1 + |S_{12}|)}} \left\{ \frac{S_{12}^*}{|S_{12}|} \psi_2 - \psi_1 \right\}$$

## Orbital redundancy

All Equivalent 全て等価

$$\frac{\left( \begin{array}{cc} \uparrow & \downarrow \\ \text{---} & \text{---} \end{array} + \begin{array}{cc} \downarrow & \uparrow \\ \text{---} & \text{---} \end{array} \right)}{\psi_1 \psi_2 + \psi_2 \psi_1}$$



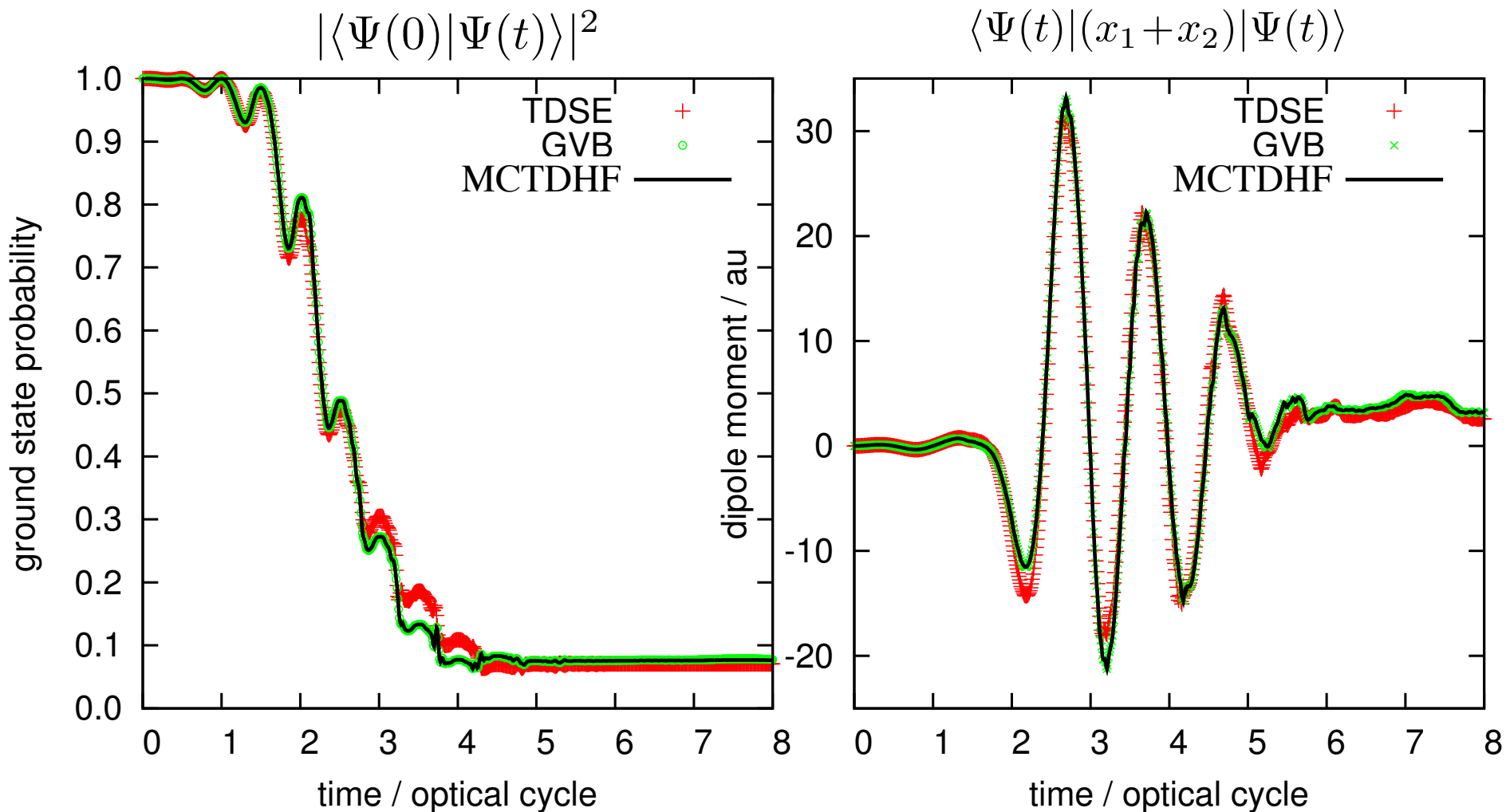
$$\frac{\mathbf{B}_1 \left( \begin{array}{cc} \text{---} & \text{---} \\ \uparrow\downarrow & \uparrow\downarrow \end{array} \right) + \mathbf{B}_3 \left( \begin{array}{cc} \downarrow & \uparrow \\ \text{---} & \text{---} \end{array} + \begin{array}{cc} \uparrow & \downarrow \\ \text{---} & \text{---} \end{array} \right)}{\langle \phi_1 | \phi_2 \rangle = 0}$$

$$\frac{\mathbf{A}_1 \left( \begin{array}{cc} \text{---} & \text{---} \\ \uparrow\downarrow & \uparrow\downarrow \end{array} \right) + \mathbf{A}_2 \left( \begin{array}{cc} \uparrow\downarrow & \uparrow\downarrow \\ \text{---} & \text{---} \end{array} \right)}{\langle \phi_1 | \phi_2 \rangle = 0}$$

MCTDHF

$$\frac{\mathbf{C}_1 \left( \begin{array}{cc} \text{---} & \text{---} \\ \uparrow\downarrow & \uparrow\downarrow \end{array} \right) + \mathbf{C}_2 \left( \begin{array}{cc} \uparrow\downarrow & \uparrow\downarrow \\ \text{---} & \text{---} \end{array} \right) + \mathbf{C}_3 \left( \begin{array}{cc} \downarrow & \uparrow \\ \text{---} & \text{---} \end{array} + \begin{array}{cc} \uparrow & \downarrow \\ \text{---} & \text{---} \end{array} \right)}{\langle \phi_1 | \phi_2 \rangle = 0}$$

May be based on the most convenient ansatz



$$\Psi(t) = \sum_{ij}^2 C_{ij}(t) \phi_i(1, t) \phi_j(2, t) \propto \psi_1(1, t) \psi_2(2, t) + \psi_2(1, t) \psi_1(2, t)$$

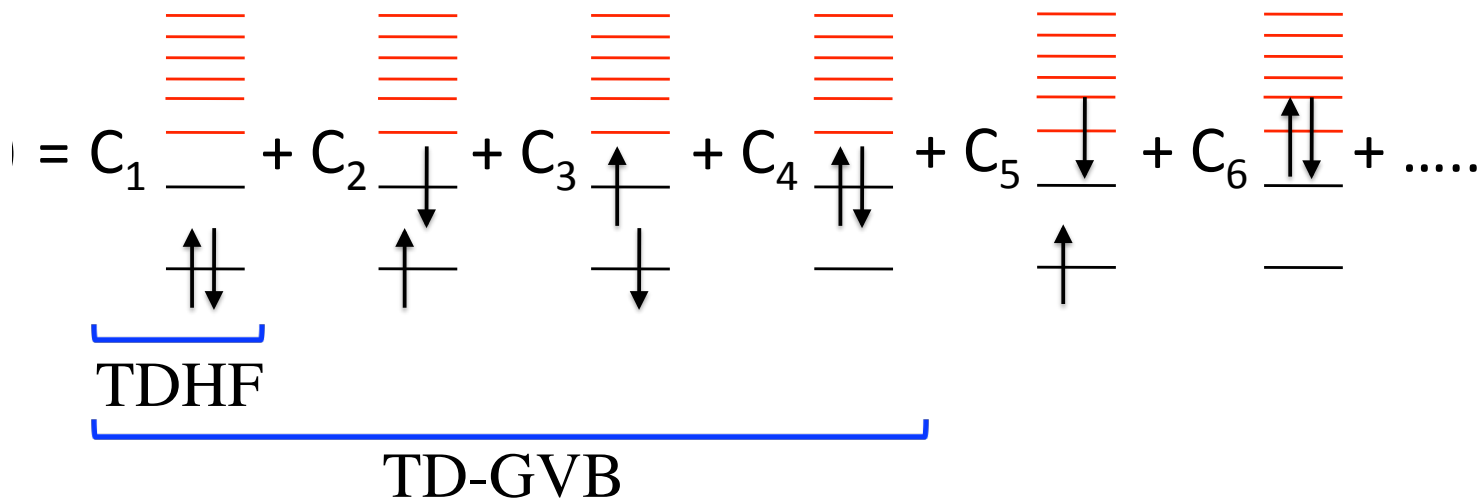
**MCTDHF** with two orbitals and **TD-GVB** are equivalent, with  
**MCTDHF being much simpler**

# MCTDHF method for two electron systems

$$\Psi(t) = \sum_{ij}^2 C_{ij}(t) \phi_i(1, t) \phi_j(2, t) \propto \psi_1(1, t) \psi_2(2, t) + \psi_2(1, t) \psi_1(2, t)$$

- ✓ Propagate both **CI coefficients** and **orbitals**
- ✓ Can improve accuracy by increasing orbitals

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, t) = \sum_{ij}^n C_{ij}(t) \phi_i(\mathbf{r}_1, t) \phi_j(\mathbf{r}_2, t)$$





## Nonsequential Double Ionization of Helium 非逐次二重電離

J. B. Watson,<sup>1</sup> A. Sanpera,<sup>1</sup> D. G. Lappas,<sup>2,\*</sup> P. L. Knight,<sup>2</sup> and K. Burnett<sup>1</sup>

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PRL, 78, 1884 (1997) (Received 27 August 1996)

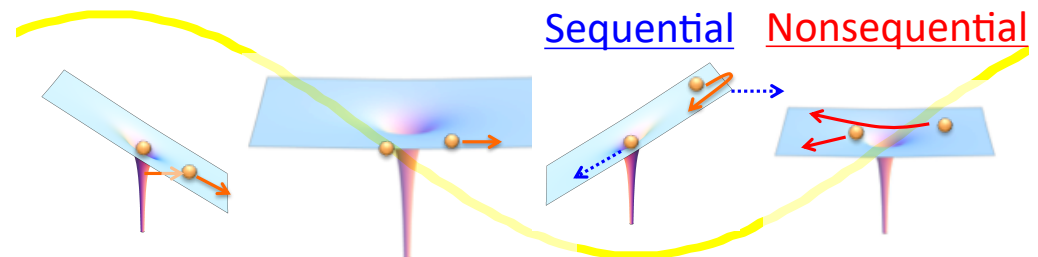
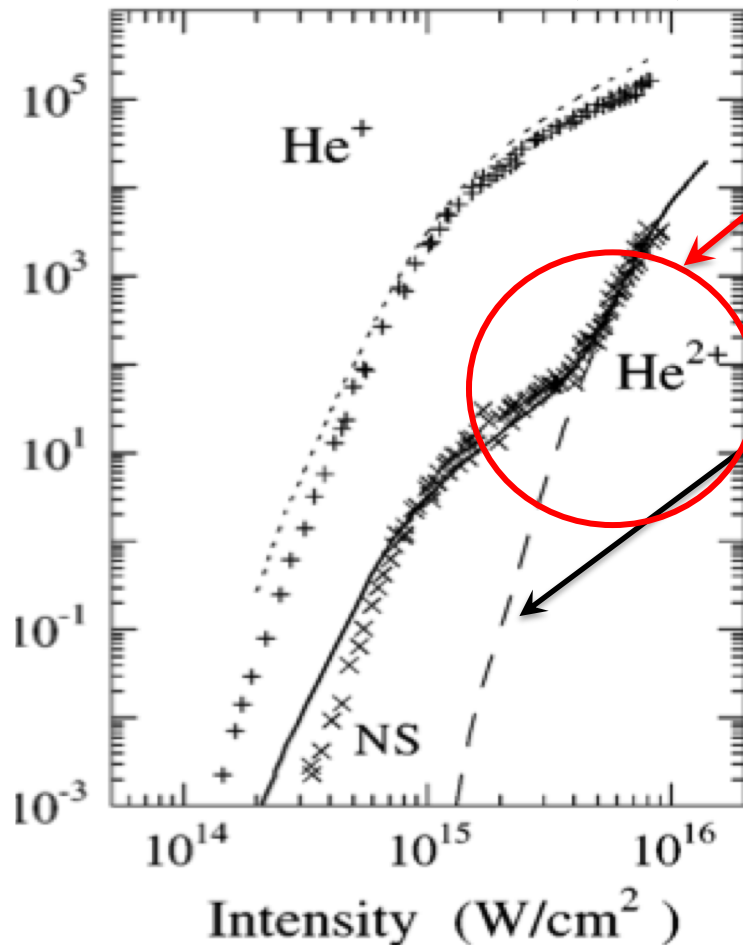
Experiment: 実験

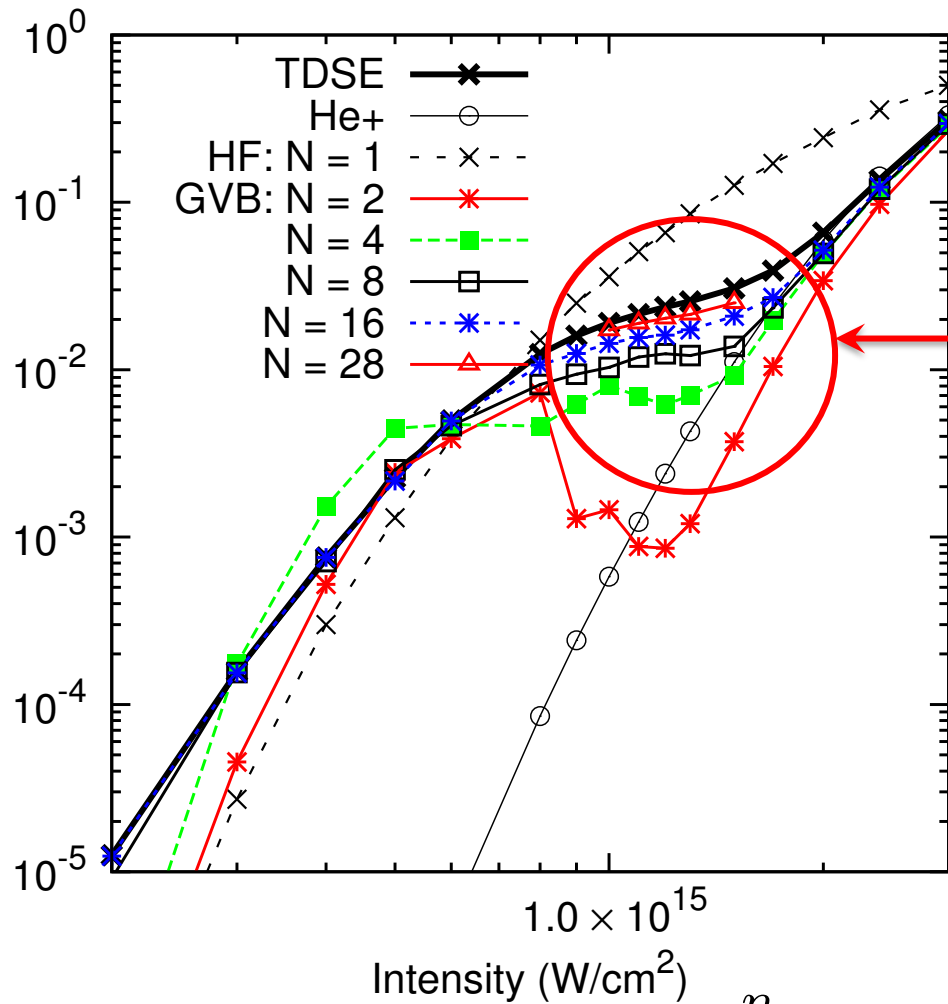
“Shoulder” region, or  
“Knee” region

Simulation: 計算

with electron-electron correlation **neglected**

電子間相互作用を無視





Accuracy can be improved systematically by increasing orbitals

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, t) = \sum_{ij}^n C_{ij}(t) \phi_i(\mathbf{r}_1, t) \phi_j(\mathbf{r}_2, t)$$

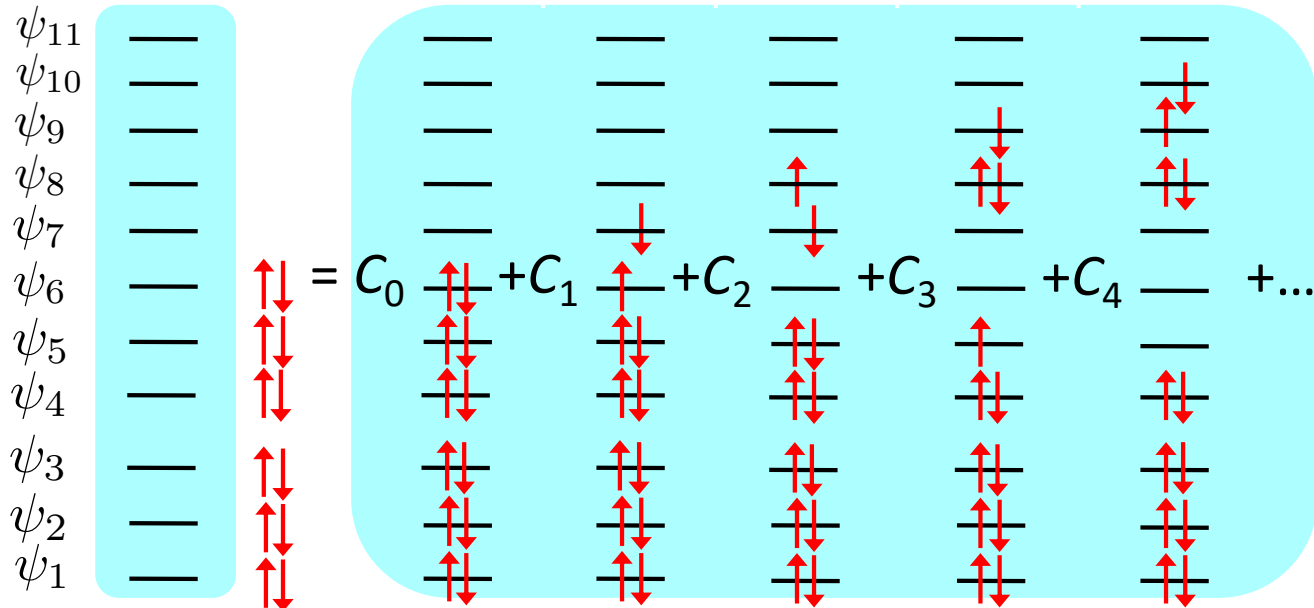
MCTDHF is a powerful tool for multielectron dynamics

# (3) Multiconfiguration TDHF (MCTDHF)

Superposition of  
Many **TD** Slater determinants

多数の時間に依存する  
スレーター行列式  
の線型結合

$$\Psi_{\text{MCTDHF}}(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N) = \sum_{i,j,\dots,k} C_{ij\dots k} |\psi_i \psi_j \dots \psi_k| \equiv \sum_I C_I \Phi_I$$



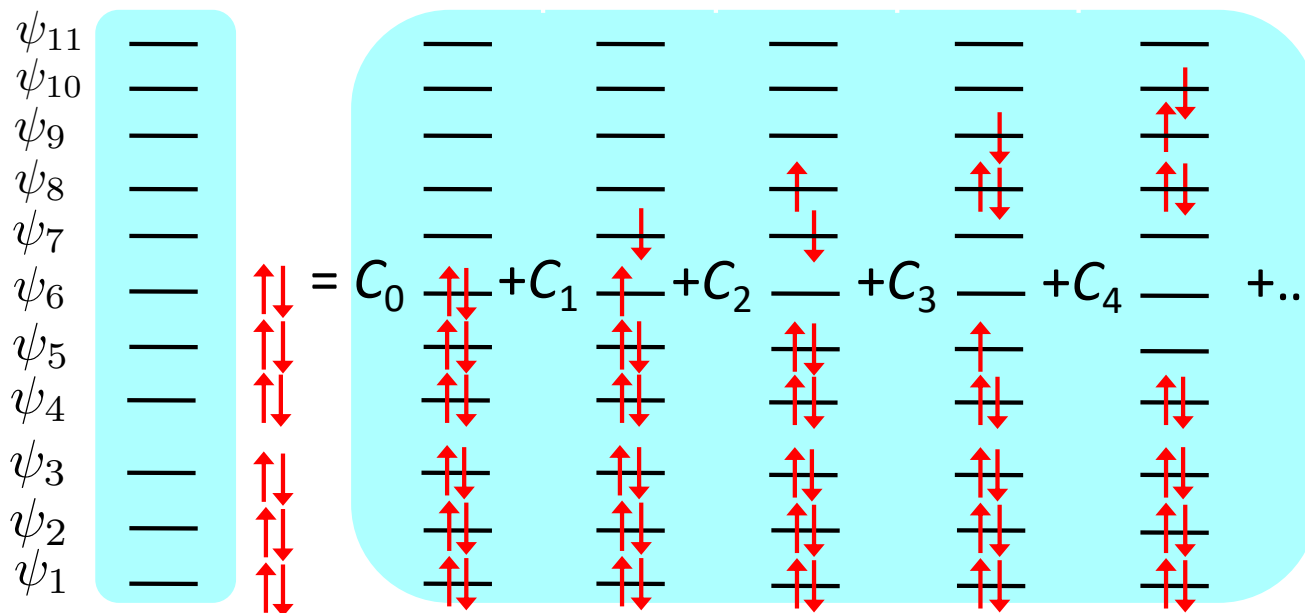
### (3) Multiconfiguration TDHF (MCTDHF)

Problem:

**Factorial** cost scaling w.r.t number of electrons

計算コストが電子数の**階乗**で増加

$$\Psi_{\text{MCTDHF}}(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N) = \sum_{i,j,\dots,k} C_{ij\dots k} |\psi_i \psi_j \dots \psi_k| \equiv \sum_I C_I \Phi_I$$

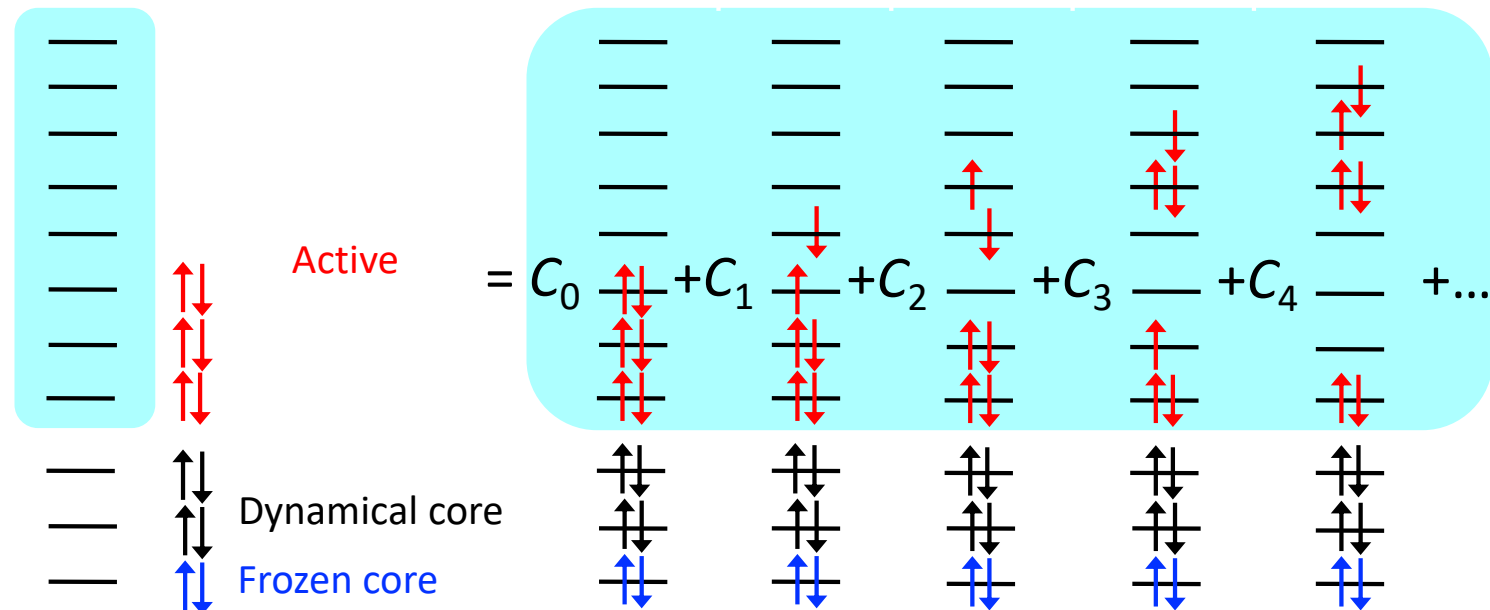


## (4) More flexible methods

TS and K. L. Ishikawa, *Phys. Rev. A*, **88**, 023402 (2013): core & active: **TD-CASSCF**

TS and K. L. Ishikawa, *Phys. Rev. A*, **91**, 023417 (2015): occupation restriction: **TD-ORMAS**

Flexibly classifying electrons into  
**active** , dynamical core, and **frozen core**



**Less demanding** (yet accurate), allowing deeper **physical insight**  
than fully correlated MCTDHF

# Deriving Equations of motion

$$\Psi_{\text{MCTDHF}}(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = \sum_{i,j,\dots,k} C_{ij\dots k} |\psi_i \psi_j \dots \psi_k| \equiv \sum_I C_I \Phi_I$$

Time-dependent variational principle

$$S[\Psi] = \int dt \langle \Psi | \left( \hat{H} - i \frac{\partial}{\partial t} \right) | \Psi \rangle$$

$$\delta S = \langle \delta \Psi | \left( \hat{H} - i \frac{\partial}{\partial t} \right) | \Psi \rangle + c.c. = 0$$

## Equations of motion

$$i\dot{C}_I = \sum_J \langle \Phi_I | \hat{H} | \Phi_J \rangle C_J$$

$$i\dot{\psi}_i(\mathbf{r}) = \hat{Q} \left[ \underbrace{\{h_0 + V_{\text{ext}}(t)\}}_{\text{Field free one-electron terms}} \psi_i(\mathbf{r}) + \underbrace{\sum_{jklm} \int d\mathbf{r}' \frac{\psi_k^*(\mathbf{r}') \psi_l(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \psi_j(\mathbf{r}) P_{mk}^{jl} (D^{-1})_i^m}_{\text{Electron-electron interaction}} \right] + \sum_j \psi_j(\mathbf{r}) R_i^j$$

← Electron-laser interaction
←

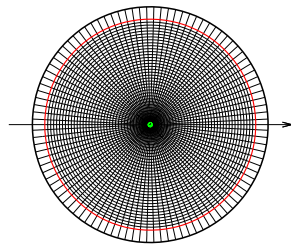
# Real-space implementation

Local Potential

$$V(x)f(x) \longrightarrow V(x_i)f(x_i)$$

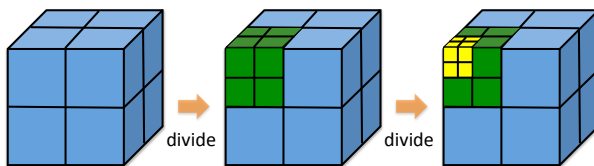
局所ポテンシャル

Kinetic energy  $\frac{\partial^2}{\partial x^2} f(x) \longrightarrow \frac{f(x_{i-1}) - 2f(x_i) + f(x_{i+1}))}{\Delta x^2}$  運動エネルギー



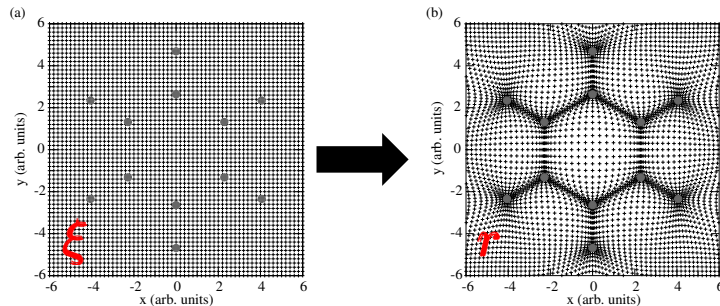
Spherical coordinate for atoms

$$\psi_p(t) \longrightarrow \sum_{klm} c_{p,klm}(t) \frac{f_{klm}(r)}{r} Y_{lm}(\theta, \phi)$$



Multiresolution cartesian grids

$$\psi_p(t) \longrightarrow \psi_p(x_i, y_j, z_k, t)$$



Curvilinear coordinate

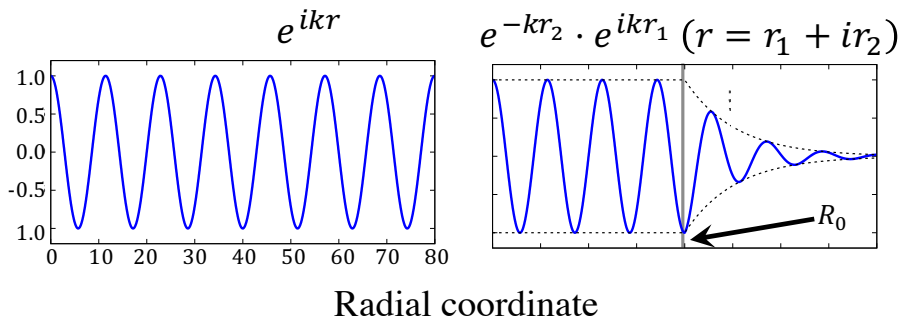
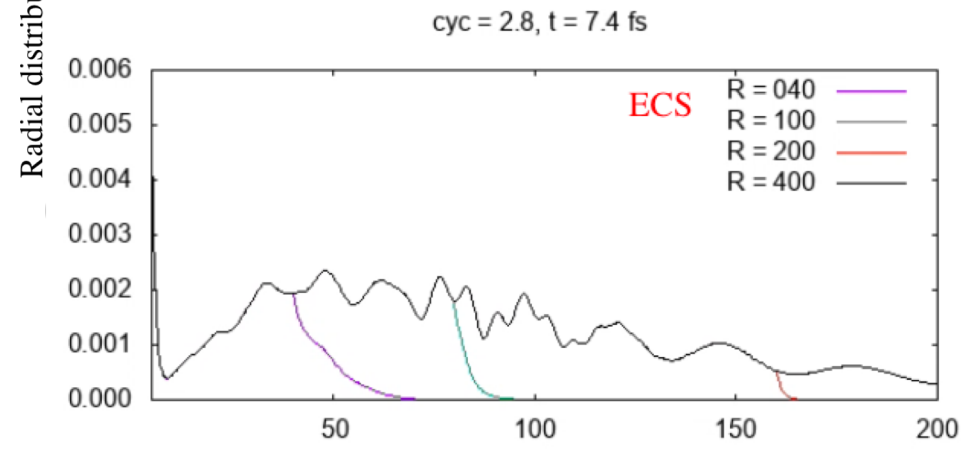
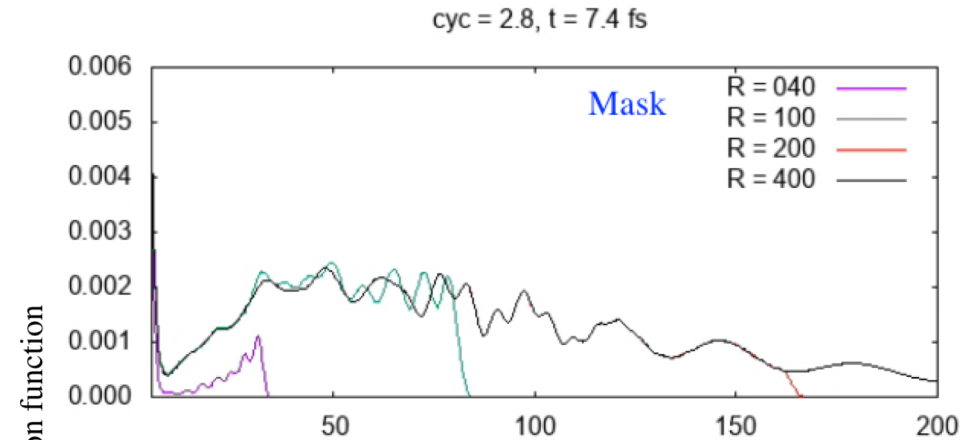
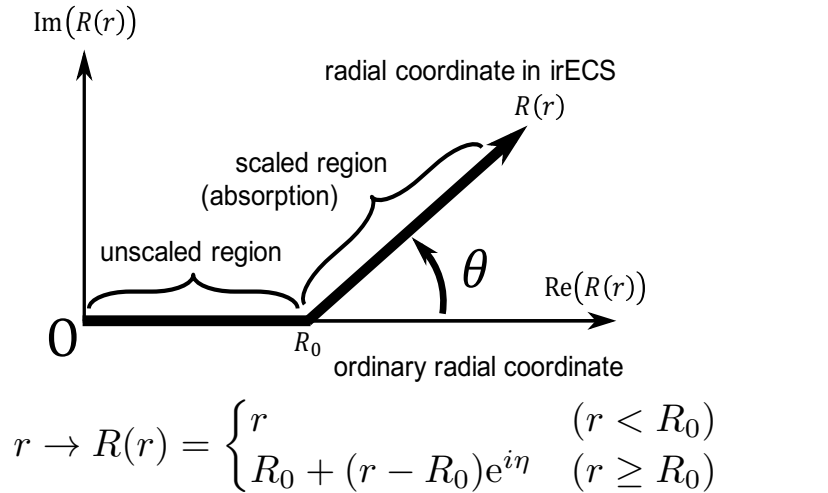
$$\psi_p(t) \longrightarrow \bar{\psi}_p(\xi_i, \xi_j, \xi_k, t),$$

$$\bar{\psi}_p(\xi, t) = |J(\xi)|^{1/2} \psi_p(\mathbf{r}(\xi, t))$$

# Absorbing boundary condition

## 吸収境界条件

Mask function, Complex absorbing potential (CAP), Exterior complex scaling (ECS), etc

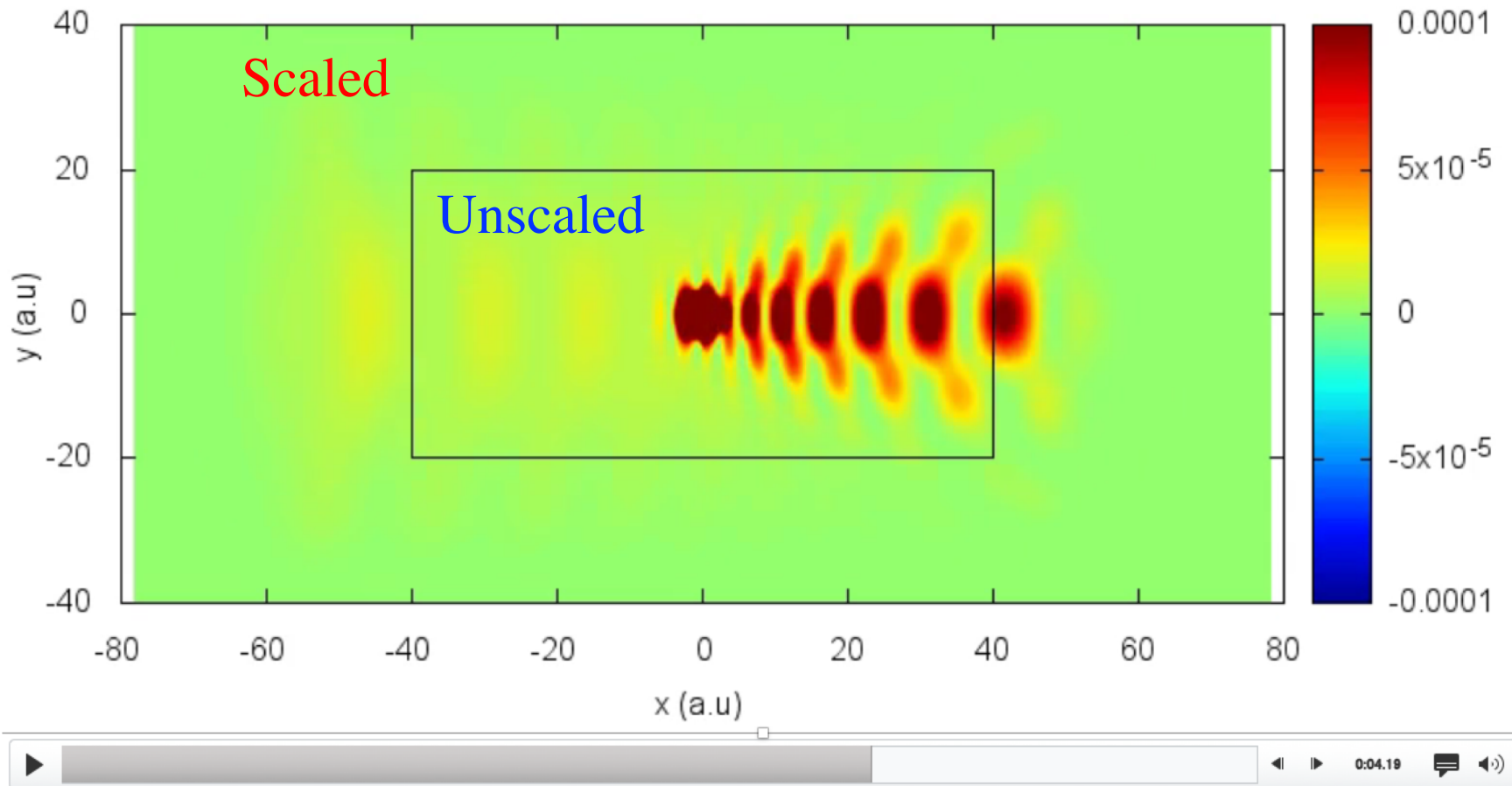




# Absorbing boundary condition

## 吸収境界条件

cyc = 2.00

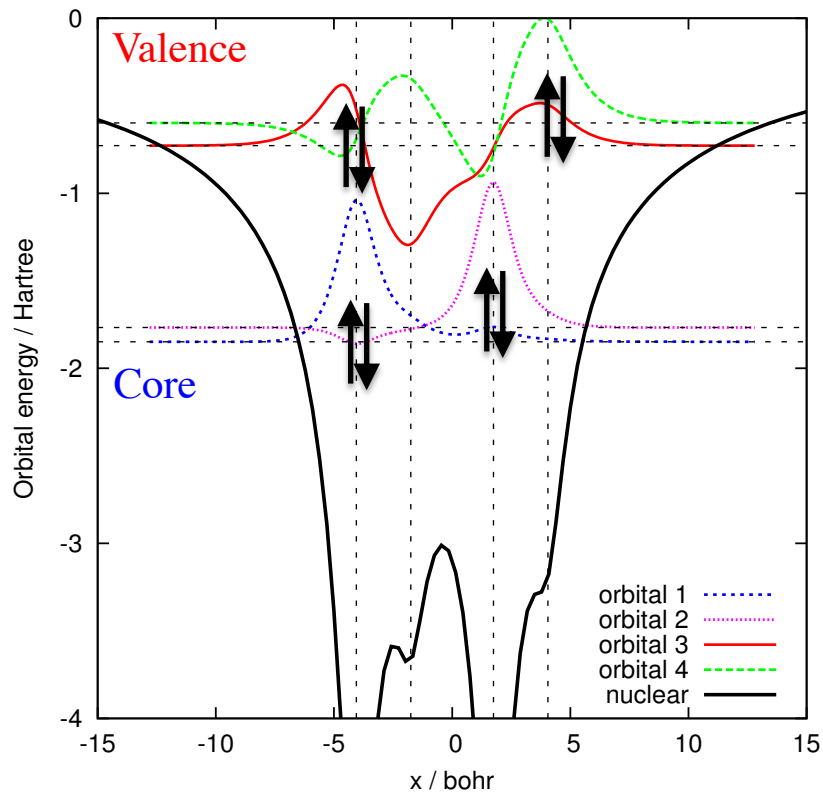


Numerically converged simulation with a finite simulation box

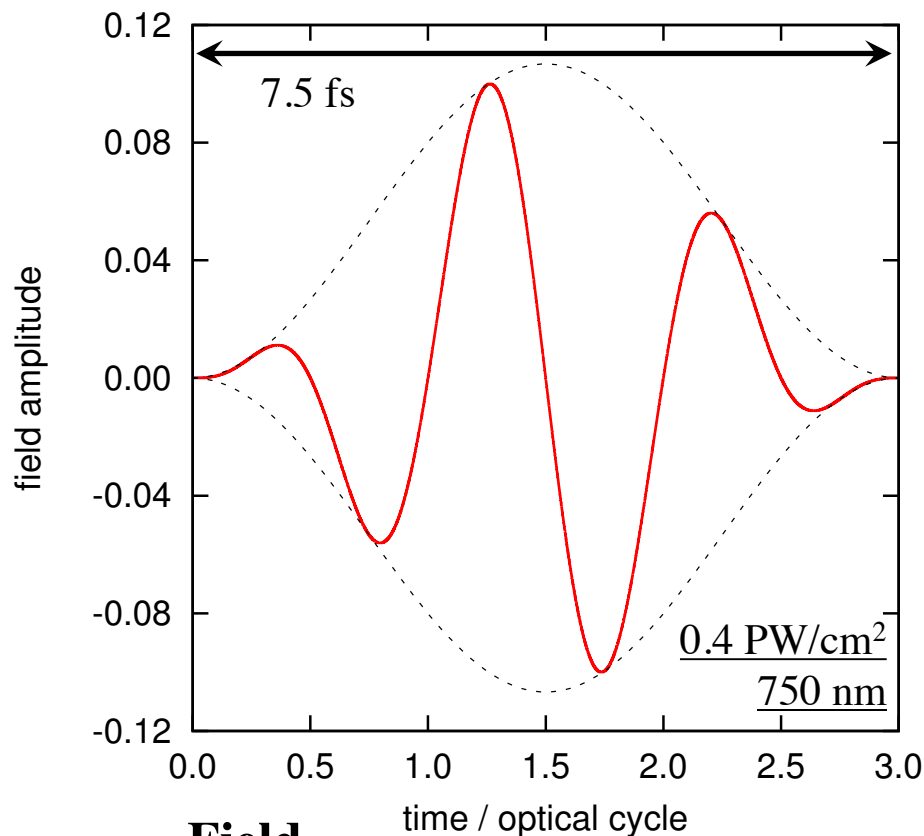
有限の計算領域で数値的に収束したシミュレーションが可能

## Applications

$$H = \sum_{i=1}^N \left\{ -\frac{1}{2} \frac{\partial^2}{\partial x_i^2} - \sum_{a=1}^M \frac{Z_a}{\sqrt{(x_i - X_a)^2 + c}} - x_i E(t) \right\} + \sum_{i>j}^N \frac{1}{\sqrt{(x_i - x_j)^2 + d}}$$



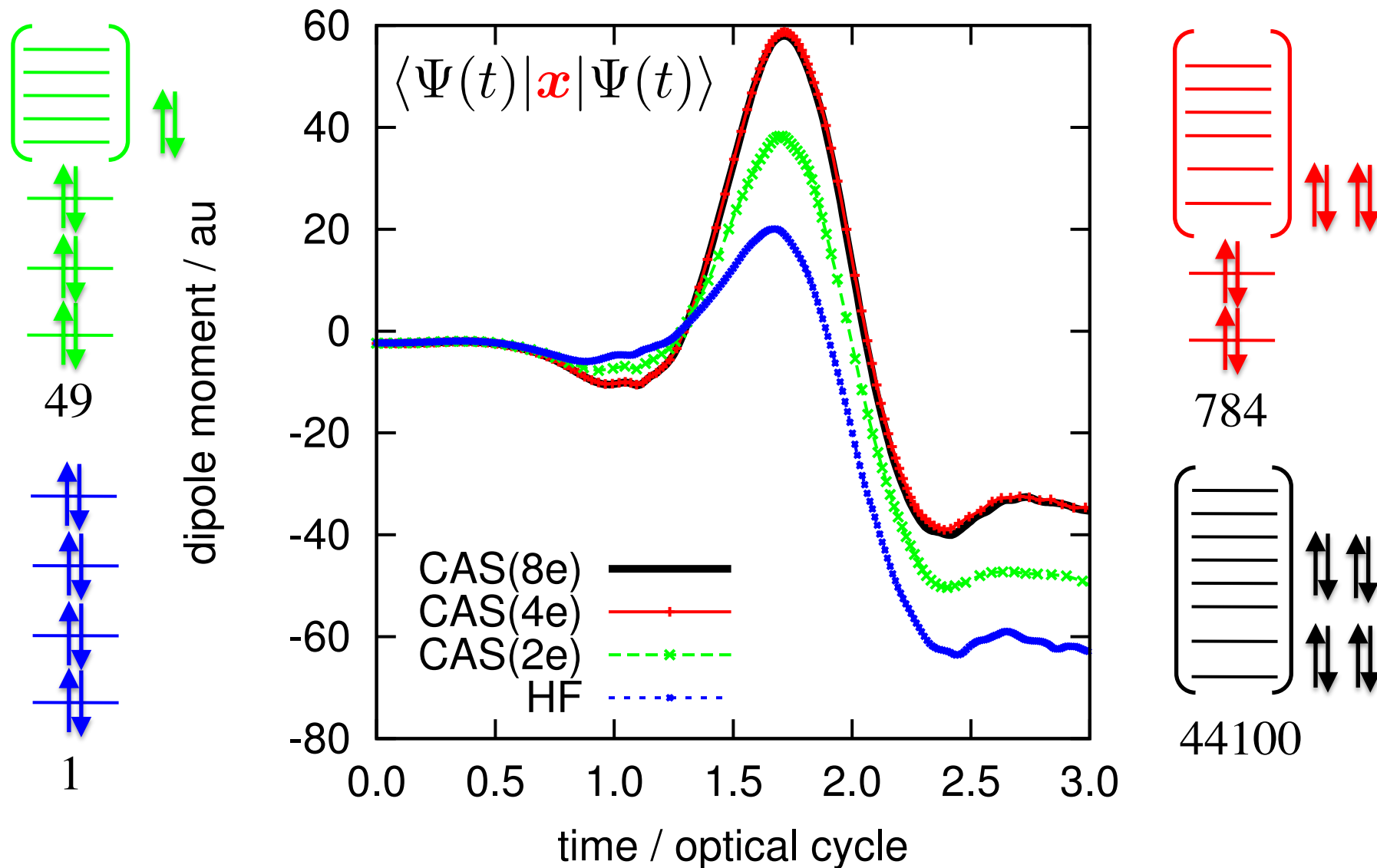
Ground-state



Field

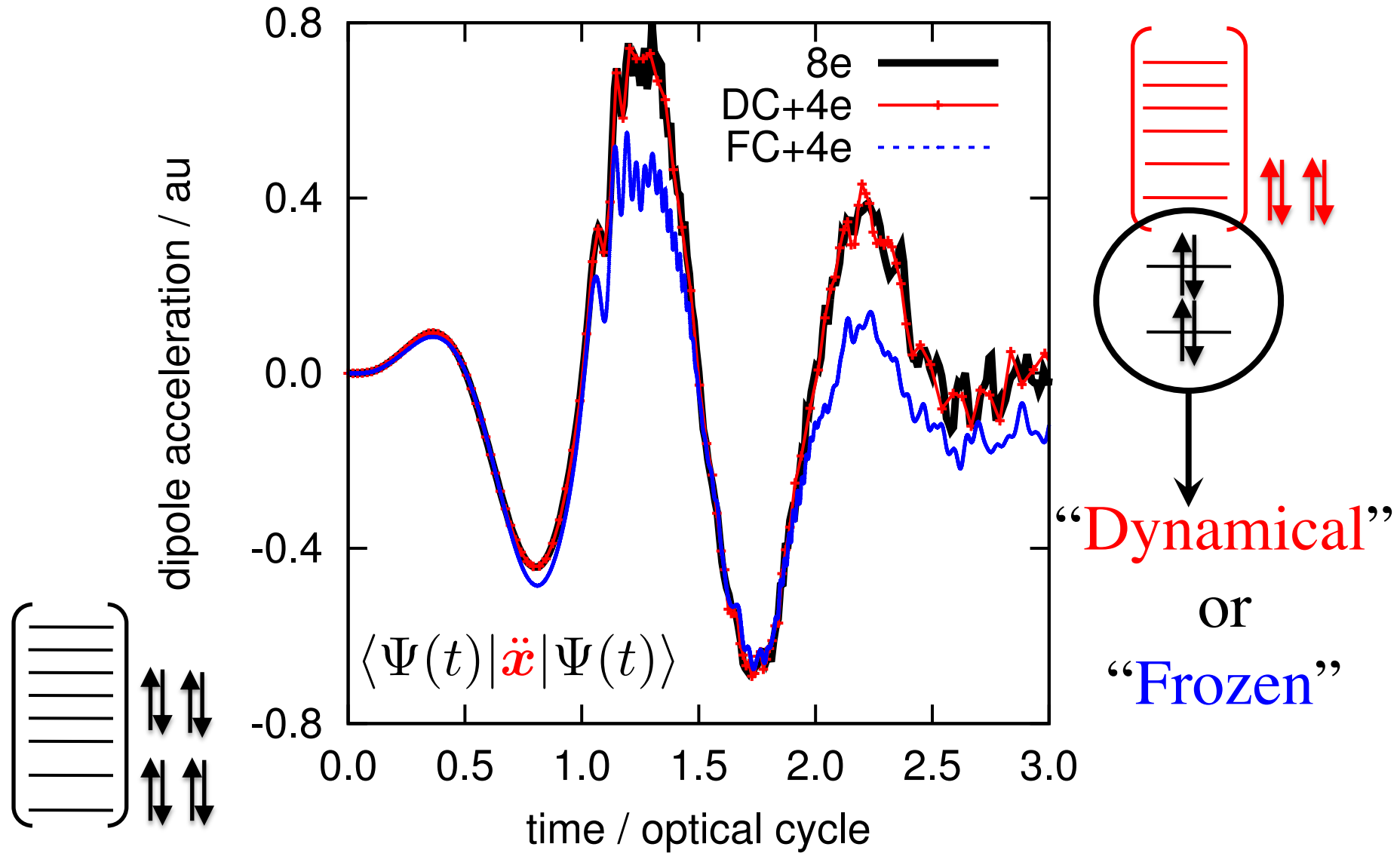
1D “LiH dimer” 4 **valence** and 4 **core** electrons

# Applications

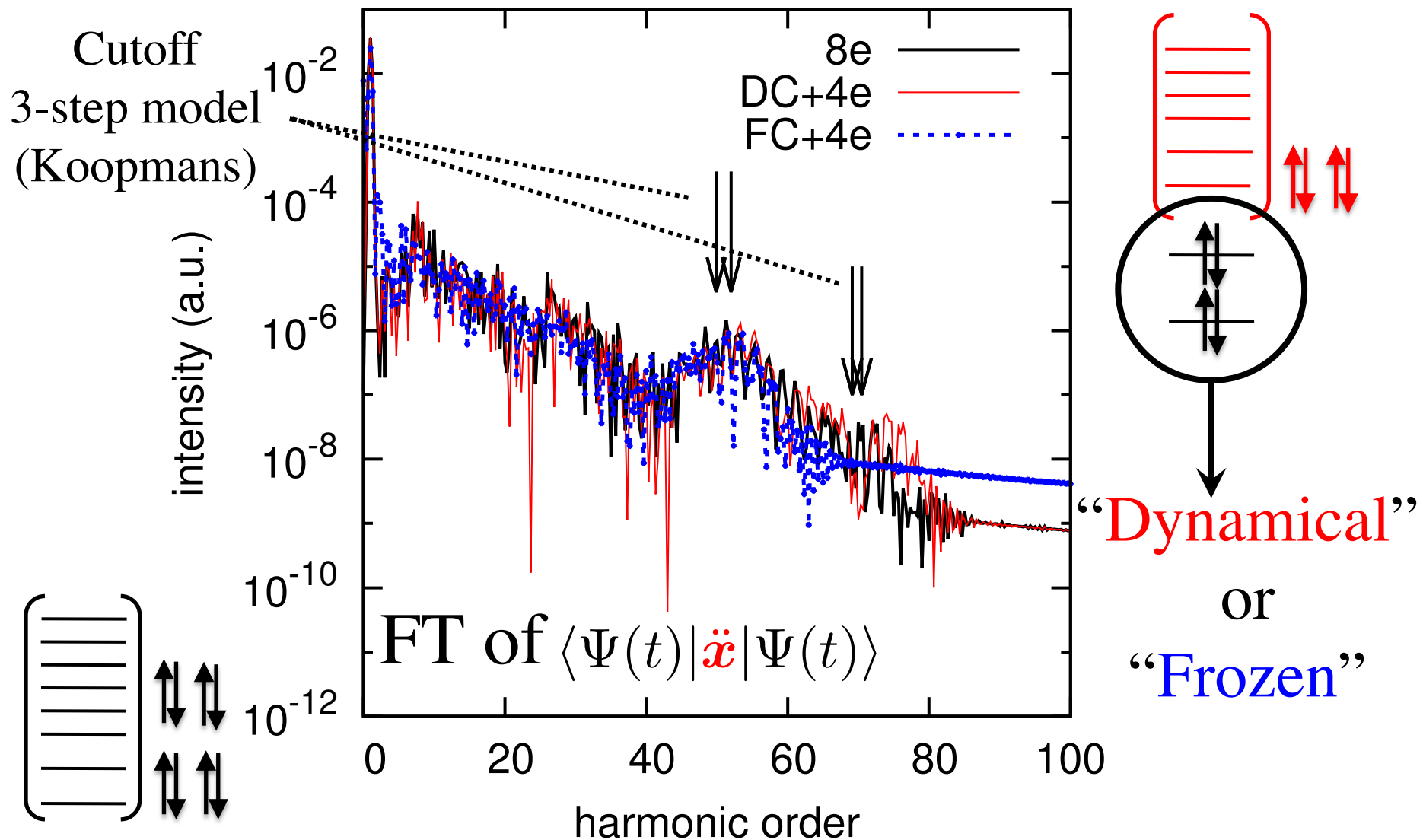


TD-CASSCF(4e, 8a) reproduces MCTDHF(8o, 10o)

## Applications



# Applications



Elucidating roles of **valence** and **core** dynamics