

Advanced Laser and Photon Science レーザー・光量子科学特論

First principles simulations 第一原理計算

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1. Two electron systems
- 2. Second quantization**
- 3. Multiconfiguration time-dependent
Hartree-Fock method**

Time-dependent variational principle

$$S = \int_{t_1}^{t_2} \langle \Psi | (\hat{H} - i\partial_t) | \Psi \rangle \quad \text{Action integral}$$

$$\delta S = 0, \quad \text{for } \Psi \rightarrow \Psi' = \Psi + \delta\Psi$$

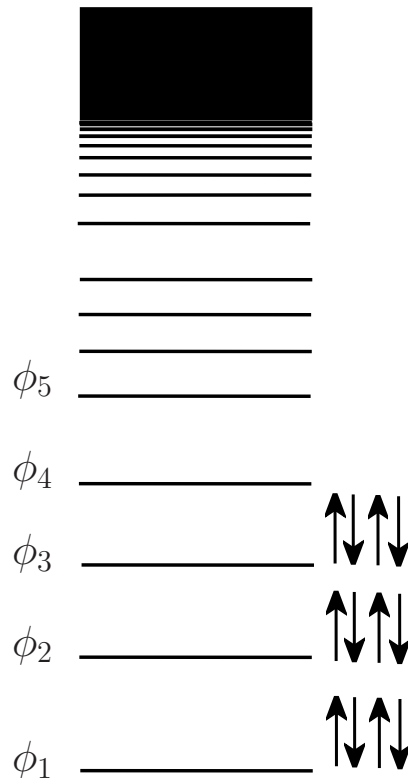
$$\delta\Psi(t_1) = \delta\Psi(t_2) = 0$$

Arbitrary	$\delta\Psi$	$\implies$	TDSE
Approximate	$\delta\Psi$	$\implies$	Variational EOMs

Example 1: Time-dependent Configuration Interaction

$$S = \int_{t_1}^{t_2} \langle \Psi | (\hat{H} - i\partial_t) | \Psi \rangle \quad \text{Action integral}$$

$$|\Psi(t)\rangle = \sum_n C_n(t) |n\rangle,$$



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$$|\Psi(t)\rangle = \sum_n C_n(t) |n\rangle,$$


Configuration Interaction (CI) coefficients: **Variational parameters**

$$S = \sum_{\mathbf{n}, \mathbf{m}} \int_{t_1}^{t_2} dt \left(C_{\mathbf{n}}^* C_{\mathbf{m}} \langle \mathbf{n} | \hat{H} | \mathbf{m} \rangle - i C_{\mathbf{n}}^* \langle \mathbf{n} | \mathbf{m} \rangle \dot{C}_{\mathbf{m}} \right)$$

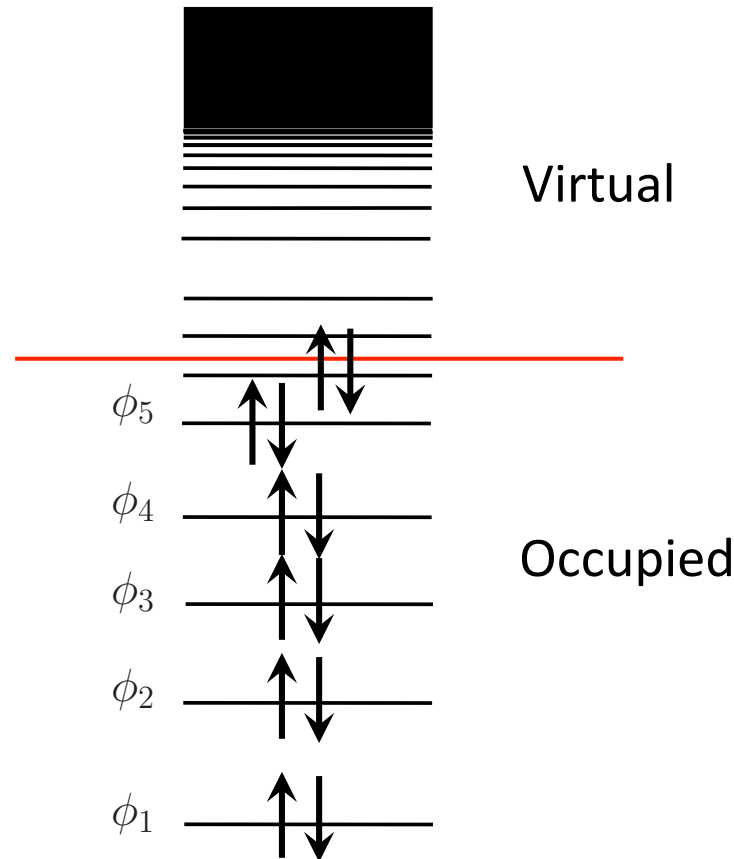
$$= \sum_{\mathbf{n}, \mathbf{m}} \int_{t_1}^{t_2} dt \left(C_{\mathbf{n}}^* C_{\mathbf{m}} \langle \mathbf{n} | \hat{H} | \mathbf{m} \rangle - i C_{\mathbf{n}}^* \dot{C}_{\mathbf{n}} \delta_{\mathbf{n}\mathbf{m}} \right)$$

$$\frac{\delta S}{\delta C_{\mathbf{n}}^*(t)} = \sum_{\mathbf{m}} \langle \mathbf{n} | \hat{H} | \mathbf{m} \rangle C_{\mathbf{m}} - i \dot{C}_{\mathbf{n}} = 0$$

$$i \dot{C}_{\mathbf{n}} = \sum_{\mathbf{m}} \langle \mathbf{n} | \hat{H} | \mathbf{m} \rangle C_{\mathbf{m}}$$

Example 2: Time-dependent Hartree-Fock

$$|\Psi(t)\rangle = |1_1 1_2 \cdots 1_N 000 \cdots\rangle \leftrightarrow \det [\phi_1 \phi_2 \cdots \phi_N]$$



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$$|\Psi(t)\rangle = |1_1 1_2 \cdots 1_N 000 \cdots\rangle \leftrightarrow \det [\phi_1 \phi_2 \cdots \phi_N]$$

Orbital functions: **Variational parameters**

$$S = \int_{t_1}^{t_2} dt \left[\sum_{i=1}^N \left(h_i^i - i \langle \phi_i | \dot{\phi}_i \rangle \right) + \frac{1}{2} \sum_{ij}^N \left(V_{ij}^{ij} - V_{ji}^{ij} \right) \right] \quad \text{From Homework (2)}$$

$$\frac{\delta S}{\delta \phi_i^*(t)} = \hat{h} \phi_i - i \dot{\phi}_i + \sum_{j=1}^N \left(\hat{W}_j^j \phi_i - \hat{W}_i^j \phi_j \right)$$

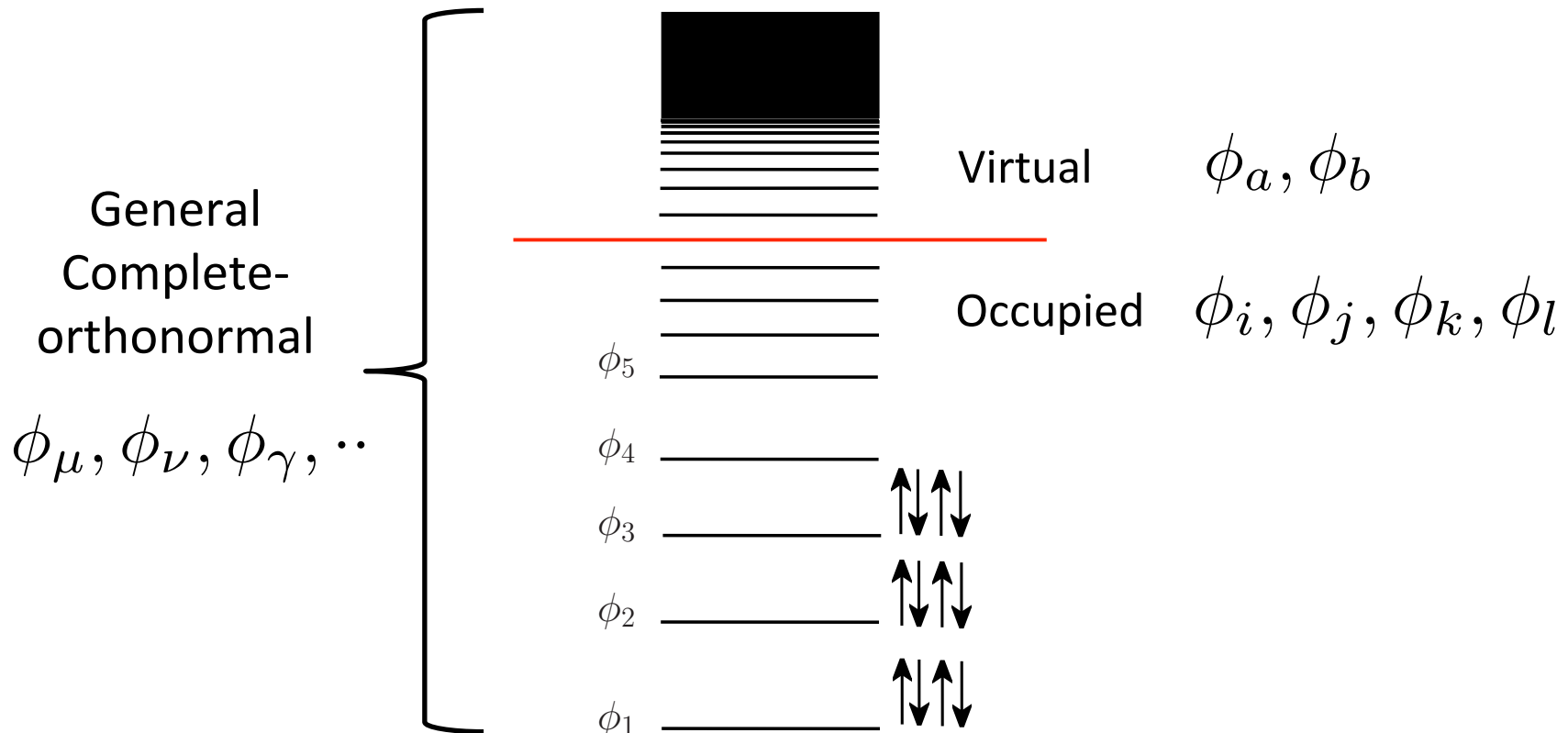
$$i \dot{\phi}_i = \hat{h} \phi_i + \sum_{j=1}^N \left(\hat{W}_j^j \phi_i - \hat{W}_i^j \phi_j \right)$$

$$W_j^i(\mathbf{r}_1) = \int dx_2 \frac{\phi_i^*(x_2) \phi_j(x_2)}{|\mathbf{r}_1 - \mathbf{r}_2|}$$

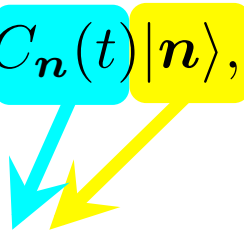
Multiconfiguration TD Hartree-Fock (MCTDHF)

$$|\Psi(t)\rangle = \sum_n C_n(t) |n\rangle,$$

TD Configuration Interaction (CI) with
given number of moving orbitals



Multiconfiguration TD Hartree-Fock (MCTDHF)

$$|\Psi(t)\rangle = \sum_n C_n(t) |n\rangle,$$


Both CI coefficients & orbital functions: **Variational parameters**

Working with Slater determinant is, in general, extremely tedious:

Need techniques of **second quantization**

(1) Matrix (operator) exponential

$$\exp(A) \equiv \sum_{n=0}^{\infty} \frac{A^n}{n!}$$

$$\exp(A)^\dagger = \exp(A^\dagger), \quad B^{-1} \exp(A) B = \exp(B^{-1} A B)$$

$$\exp(A + B) = \exp(A) \exp(B) \Leftarrow [A, B] = 0$$

$$\exp(A) B \exp(-A) = B + [A, B] + \frac{1}{2!} [A, [A, B]] + \frac{1}{3!} [A, [A, [A, B]]] + \dots$$

Baker-Campbell-Hausdorff (BCH) expansion

Multiconfiguration TD Hartree-Fock (MCTDHF)

(2) Exponential parameterization of unitary matrix (operator)

$$U = \exp(X)$$

$$U : \quad \text{unitary} \quad U^\dagger U = U U^\dagger = 1$$

$$X : \quad \text{anti-Hermitian} \quad X^\dagger = -X$$

Anti-Hermitian matrix can be parameterized more easily than unitary one

(3) Unitary transformation of orbitals

$$\phi_\mu(t) = \sum_\nu \phi_\nu(0) U_{\nu\mu} = \sum_\nu \phi_\nu(0) \exp(X)_{\nu\mu},$$

$$\Longleftrightarrow a_\mu^\dagger(t) = \sum_\nu a_\nu^\dagger(0) U_{\nu\mu} = \sum_\nu a_\nu^\dagger(0) \exp(X)_{\nu\mu}$$

$$a_\mu(t) = \sum_\nu a_\nu(0) U_{\nu\mu}^* = \sum_\nu a_\nu^\dagger(0) \exp(X)_{\nu\mu}^*$$

Multiconfiguration TD Hartree-Fock (MCTDHF)

(3) Unitary transformation of orbitals

$$\begin{aligned}\phi_\mu(t) &= \sum_\nu \phi_\nu(0) U_{\nu\mu} = \sum_\nu \phi_\nu(0) \exp(X)_{\nu\mu}, \\ \Leftrightarrow a_\mu^\dagger(t) &= \sum_\nu a_\nu^\dagger(0) U_{\nu\mu} = \sum_\nu a_\nu^\dagger(0) \exp(X)_{\nu\mu} \\ a_\mu(t) &= \sum_\nu a_\nu(0) U_{\nu\mu}^* = \sum_\nu a_\nu^\dagger(0) \exp(X)_{\nu\mu}^*\end{aligned}$$

$$\begin{aligned}\Leftrightarrow a_\mu^\dagger(t) &= \exp(\hat{X}) a_\mu^\dagger(0) \exp(-\hat{X}) \\ a_\mu(t) &= \exp(\hat{X}) a_\mu(0) \exp(-\hat{X}) \\ \hat{X} &= \sum_{\mu\nu} X_\nu^\mu a_\mu^\dagger(0) a_\nu(0)\end{aligned}$$

Proof: From BCH expansion

Multiconfiguration TD Hartree-Fock (MCTDHF)

(4) Unitary transformation of Slater determinants

$$\phi_{\mu}(t) = \sum_{\nu} \phi_{\nu}(0) U_{\nu\mu} = \sum_{\nu} \phi_{\nu}(0) \exp(X)_{\nu\mu},$$

$$\Longleftrightarrow a_{\mu}^{\dagger}(t) = \exp(\hat{X}) a_{\mu}^{\dagger}(0) \exp(-\hat{X})$$

$$a_{\mu}(t) = \exp(\hat{X}) a_{\mu}(0) \exp(-\hat{X})$$

$$\hat{X} = \sum_{\mu\nu} X_{\nu}^{\mu} a_{\mu}^{\dagger}(0) a_{\nu}(0)$$

$$|\mathbf{n}(0)\rangle = a_1^{\dagger n_1}(0) a_2^{\dagger n_2}(0) a_3^{\dagger n_3}(0) \cdots | \rangle$$

$$|\mathbf{n}(t)\rangle = a_1^{\dagger n_1}(t) a_2^{\dagger n_2}(t) a_3^{\dagger n_3}(t) \cdots | \rangle$$

$$= \exp(\hat{X}) |\mathbf{n}(0)\rangle$$

Multiconfiguration TD Hartree-Fock (MCTDHF)

(5) Unitary transformation of total wave function

$$\begin{aligned}
 |\Psi(t)\rangle &= \sum_{\mathbf{n}} C_{\mathbf{n}}(t) |\mathbf{n}(t)\rangle \\
 &= \exp(\hat{X}) \sum_{\mathbf{n}} C_{\mathbf{n}}(t) |\mathbf{n}(0)\rangle
 \end{aligned}$$

(6) Variation and Time derivative of total wave function

$$\begin{aligned}
 |\delta\Psi(t)\rangle &= \sum_{\mathbf{n}} \delta C_{\mathbf{n}}(t) |\mathbf{n}(t)\rangle + \sum_{\mu\nu} \delta X_{\nu}^{\mu} \hat{E}_{\nu}^{\mu} |\Psi(t)\rangle \\
 |\dot{\Psi}(t)\rangle &= \sum_{\mathbf{n}} \dot{C}_{\mathbf{n}}(t) |\mathbf{n}(t)\rangle + \sum_{\mu\nu} \dot{X}_{\nu}^{\mu} \hat{E}_{\nu}^{\mu} |\Psi(t)\rangle \\
 \dot{X}_{\nu}^{\mu} &= \langle \phi_{\mu}(t) | \dot{\phi}_{\nu}(t) \rangle \quad (\hat{E}_{\nu}^{\mu} \equiv \hat{a}_{\mu}^{\dagger} \hat{a}_{\nu})
 \end{aligned}$$

Multiconfiguration TD Hartree-Fock (MCTDHF)

$$|\Psi(t)\rangle = \sum_{\mathbf{n}} C_{\mathbf{n}}(t) |\mathbf{n}\rangle,$$

Both CI coefficients & orbital functions: **Variational parameters**
 Insert previous results into TDVP and require

$$\delta S / \delta C_{\mathbf{n}}^*(t) = \delta S / \delta X_{\mu\nu}(t) = 0$$

$$i\dot{C}_{\mathbf{n}} = \sum_{\mathbf{m}} \langle \mathbf{n} | \left(\hat{H} - i \sum_{\mu\nu} \hat{E}_{\nu}^{\mu} \dot{X}_{\nu}^{\mu} \right) | \mathbf{m} \rangle C_{\mathbf{m}}$$

$$i \sum_{\gamma\lambda} \left[\langle \Psi | \hat{E}_{\nu}^{\mu} \left(1 - \sum_{\mathbf{n}} |\mathbf{n}\rangle \langle \mathbf{n}| \right) \hat{E}_{\lambda}^{\gamma} | \Psi \rangle - \langle \Psi | \hat{E}_{\lambda}^{\gamma} \left(1 - \sum_{\mathbf{n}} |\mathbf{n}\rangle \langle \mathbf{n}| \right) \hat{E}_{\nu}^{\mu} | \Psi \rangle \right] \dot{X}_{\lambda}^{\gamma}$$

$$= \langle \Psi | \hat{E}_{\nu}^{\mu} \left(1 - \sum_{\mathbf{n}} |\mathbf{n}\rangle \langle \mathbf{n}| \right) \hat{H} | \Psi \rangle - \langle \Psi | \hat{H} \left(1 - \sum_{\mathbf{n}} |\mathbf{n}\rangle \langle \mathbf{n}| \right) \hat{E}_{\nu}^{\mu} | \Psi \rangle$$

General equations of motion

Multiconfiguration TD Hartree-Fock (**MCTDHF**)

$$|\Psi(t)\rangle = \sum_{\mathbf{n}} C_{\mathbf{n}}(t) |\mathbf{n}\rangle,$$

In case of **complete CI expansion** within the given orbitals

$$i\dot{C}_{\mathbf{n}} = \sum_{\mathbf{m}} \langle \mathbf{n} | \left(\hat{H} - \sum_{ij} E_j^i R_j^i \right) | \mathbf{m} \rangle C_{\mathbf{m}}$$

$$i|\dot{\phi}_i\rangle = \hat{Q} \left(\hat{h}|\phi_i\rangle + \sum_{jklm}^{occ} (D^{-1})_m^i P_{jl}^{mk} \hat{W}_l^k |\phi_j\rangle \right) + \sum_j^{occ} |\phi_j\rangle R_i^j$$

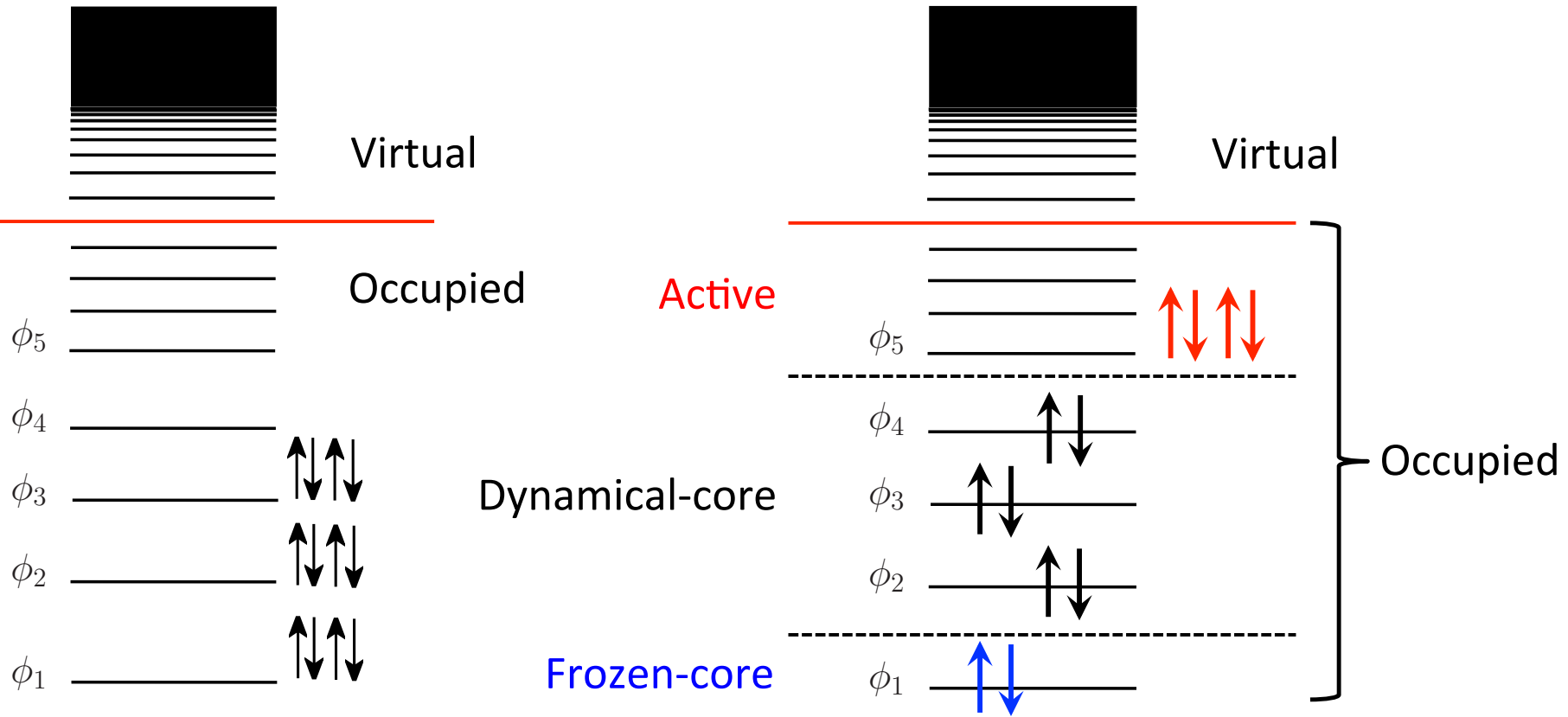
$$\hat{Q} = 1 - \sum_j^{occ} |\phi_j\rangle \langle \phi_j|$$

$R_i^j \equiv i\langle \phi_j | \dot{\phi}_i \rangle$: Arbitrary Hermitian matrix

$$D_j^i = \langle \Psi | E_j^i | \Psi \rangle, P_{jl}^{ik} = \langle \Psi | E_{jl}^{ik} | \Psi \rangle \quad (\hat{E}_{jl}^{ik} = \hat{a}_i^\dagger \hat{a}_k^\dagger \hat{a}_l \hat{a}_j)$$

One (*D*) and two (*P*) particle reduced density matrices

Importance of non-complete CI expansions



MCTDHF

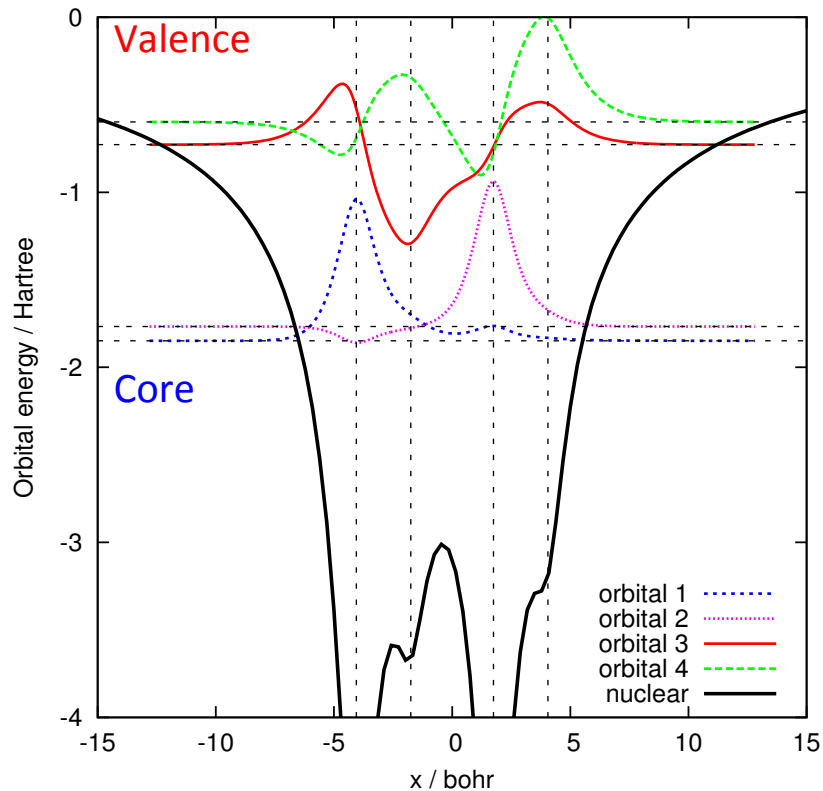
$$N_{\text{Det}} = 784$$

TD-CASSCF (complete-active-space self-consistent-field): core and active subspaces

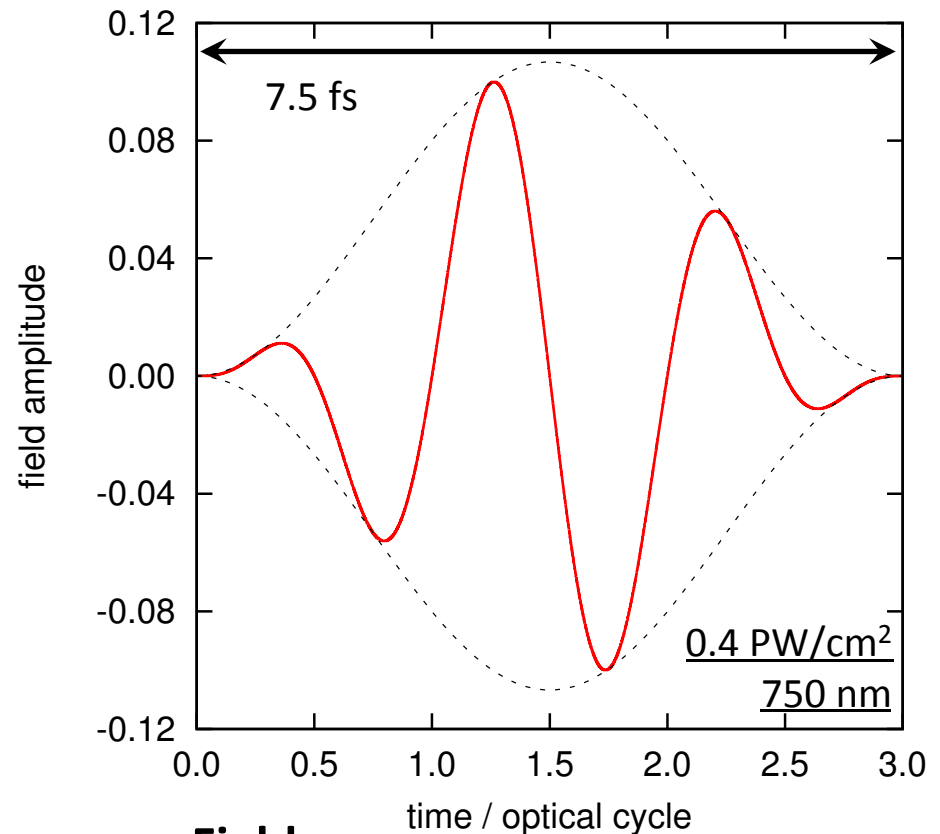
$$N_{\text{Det}} = 36$$

Applicaions

$$H = \sum_{i=1}^N \left\{ -\frac{1}{2} \frac{\partial^2}{\partial x_i^2} - \sum_{a=1}^M \frac{Z_a}{\sqrt{(x_i - X_a)^2 + c}} - x_i E(t) \right\} + \sum_{i>j}^N \frac{1}{\sqrt{(x_i - x_j)^2 + d}}$$



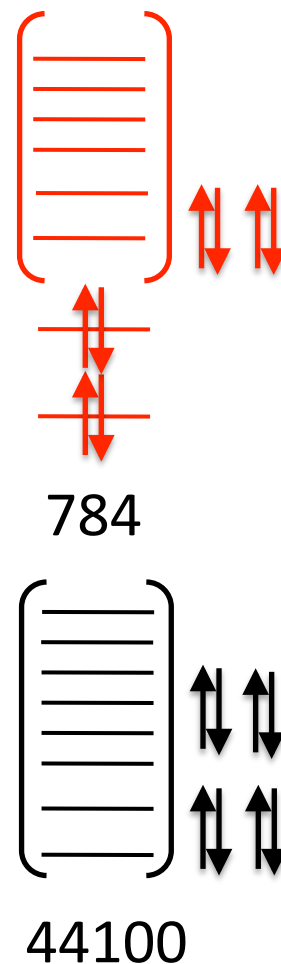
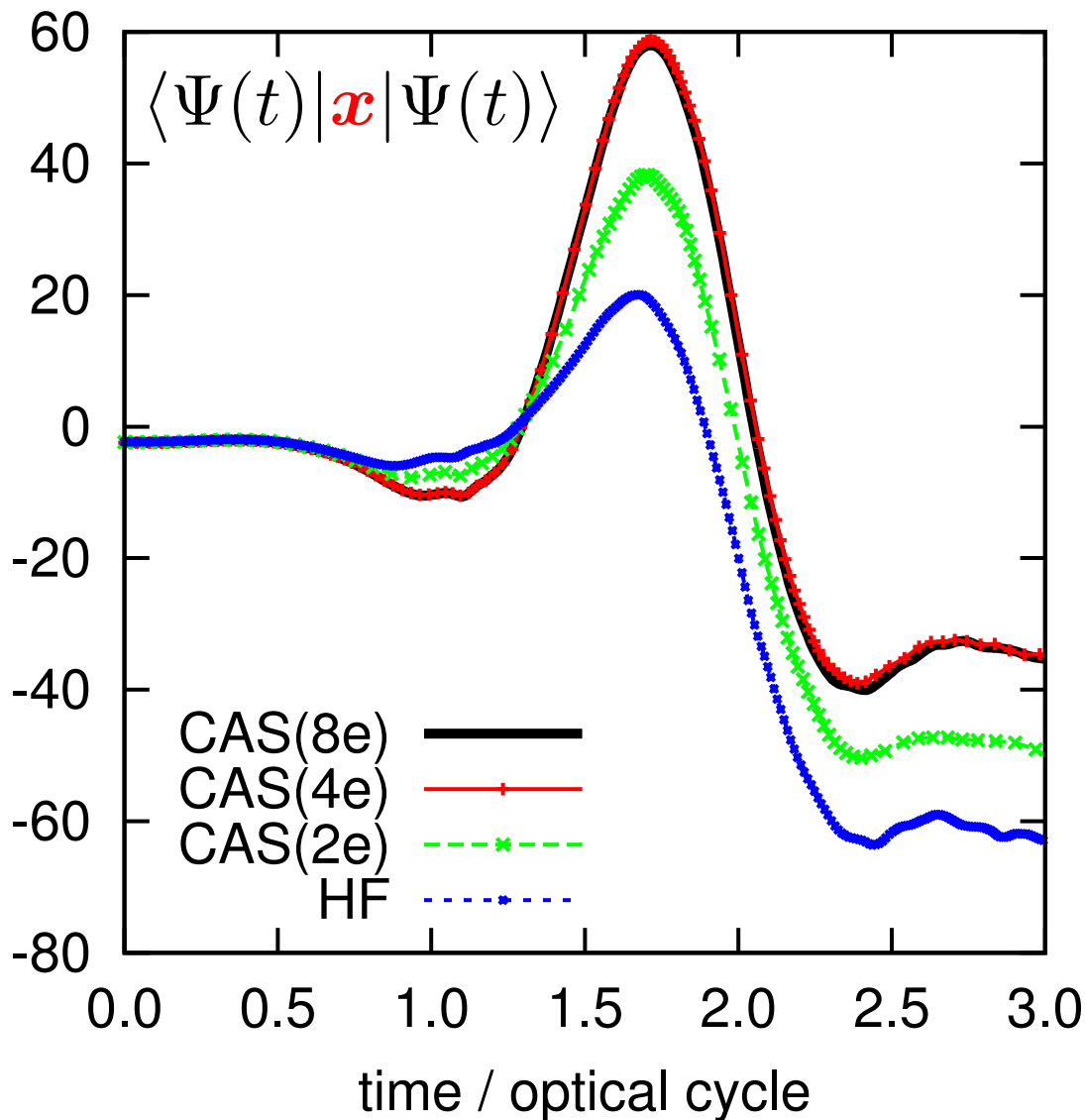
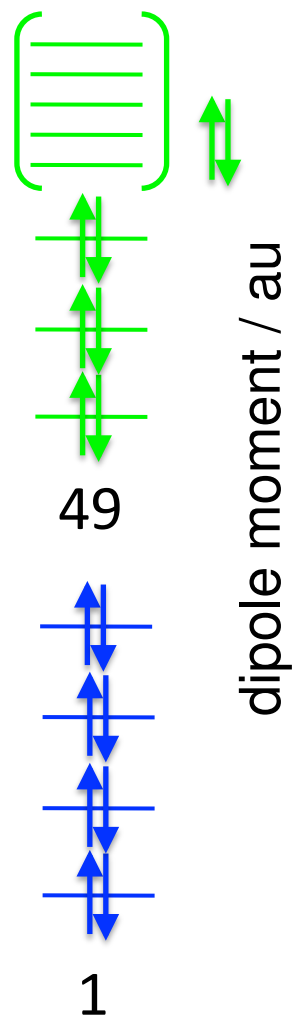
Ground-state



Field

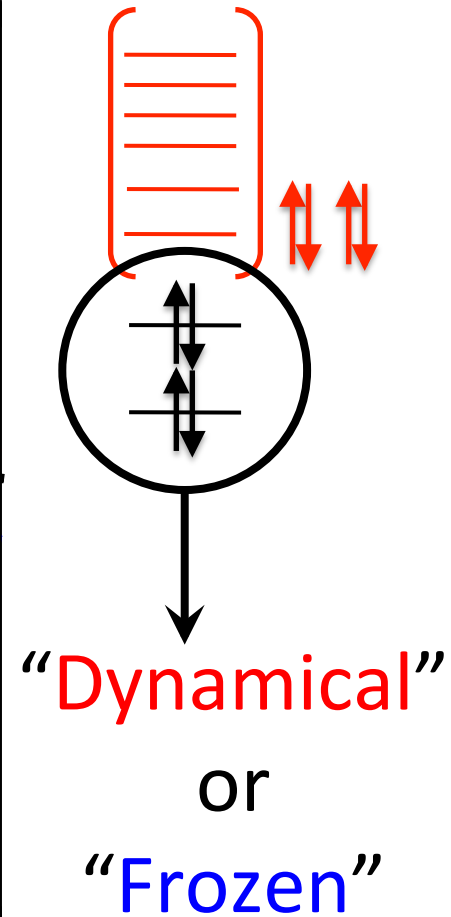
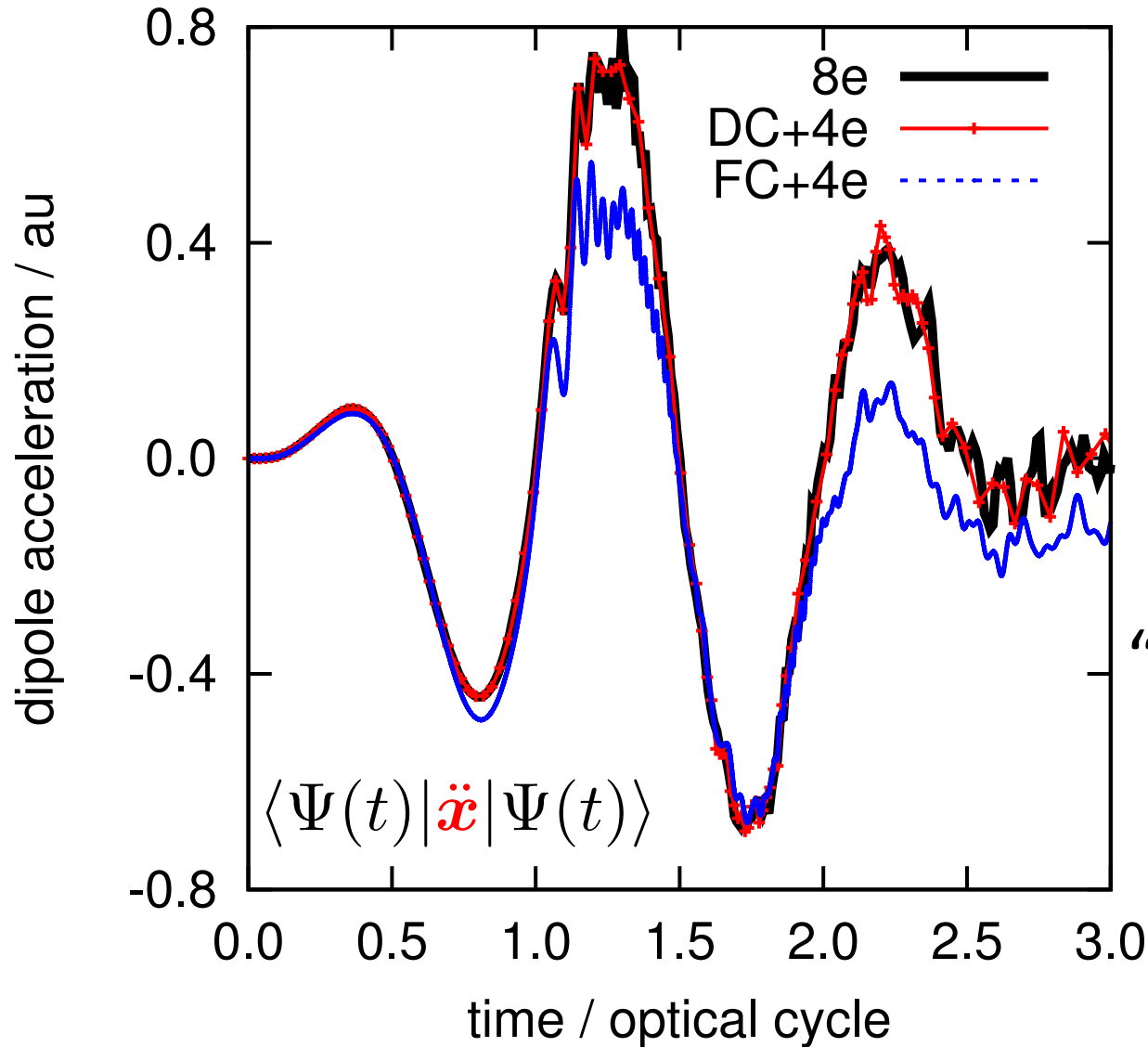
1D “LiH dimer” 4 valence and 4 core electrons

Applicaions



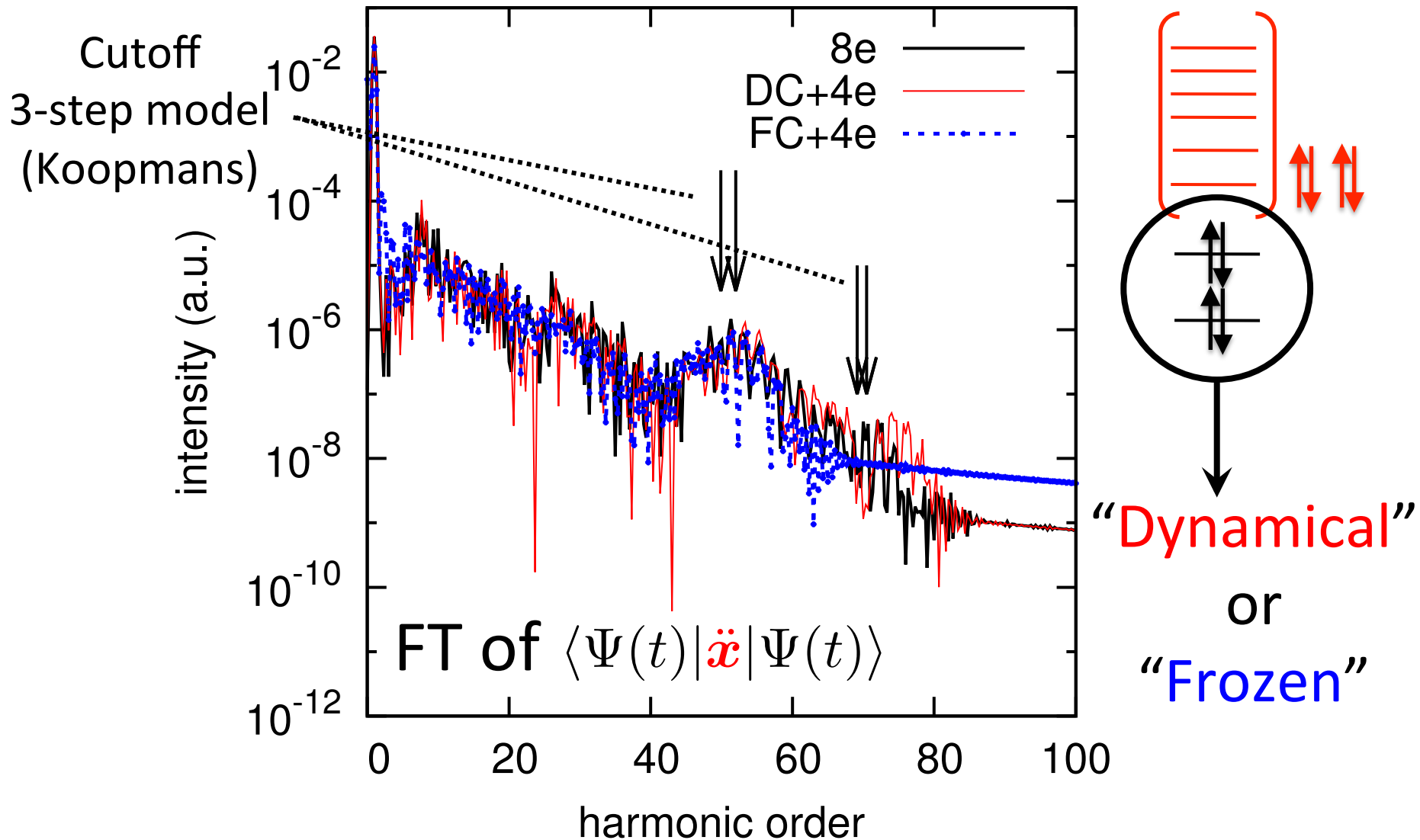
TD-CASSCF(4e, 8a) reproduces MCTDHF(8o, 10o)

Applications



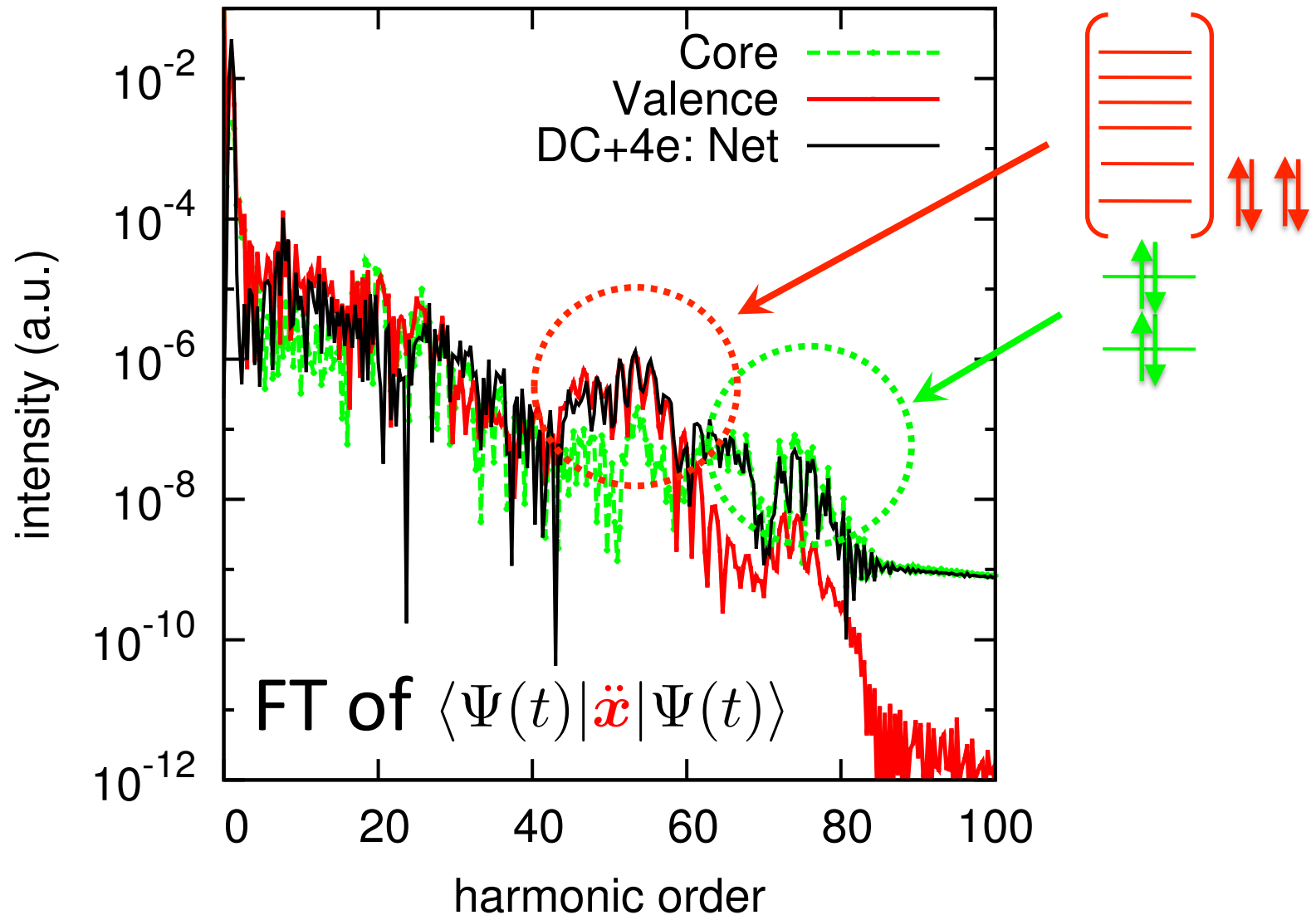
Core is important for higher-order response

Applications



Core is important for higher-order response

Applications



Core and Valence contributions: Deeper physical understanding

Report

Submission due: July 31.

Place to submit: the office of the Nuclear Engineering & Management, 2nd floor of the Bldg. 3. Language: English or Japanese.

(1)

MCTDHF includes single determinant TDHF as a special case. Derive the TDHF equations of motion (given in **p. 7**) starting from the MCTDHF equations (**p. 15**) by ignoring CI equations and inserting HF wave function,

$$|\Psi\rangle = |1_1 1_2 1_3 \cdots 1_N 0000\rangle$$

in the definition of one and two particle reduced density matrices. Here N is the number of electrons. The resultant equations will still look different from those in **p. 7**. Choose the appropriate Hermitian matrix R in order to obtain exactly the same equations as those in **p. 7**.

(2)

Derive the transformation (Expressions for A_1, A_2, ϕ_1, ϕ_2 below) from GVB wave function to the MCTDHF wave function for the two-electron singlet system, and explicitly show that MCTDHF orbitals are orthonormal. Assume that GVB orbitals are normalized. See J. Phys. B: At. Mol. Opt. Phys. 47, 204031 (2014).

$$\begin{array}{c}
 \left(\begin{array}{cc} \uparrow & \downarrow \\ \hline \end{array} + \begin{array}{cc} \downarrow & \uparrow \\ \hline \end{array} \right) \\
 \hline
 \psi_1 \psi_2 + \psi_2 \psi_1 \\
 \updownarrow \\
 A_1 \left(\begin{array}{c} \text{---} \\ \updownarrow \\ \text{---} \end{array} \right) + A_2 \left(\begin{array}{c} \updownarrow \\ \text{---} \\ \text{---} \end{array} \right) \\
 \hline
 A_1 \phi_1 \phi_1 + A_2 \phi_2 \phi_2
 \end{array}$$

$$\begin{aligned}
 \Psi_{\text{GVB}}(\mathbf{r}_1, \mathbf{r}_2) &= \frac{1}{\sqrt{2}} [\psi_1(\mathbf{r}_1)\psi_2(\mathbf{r}_2) + \psi_2(\mathbf{r}_1)\psi_1(\mathbf{r}_2)] \\
 &= A_1 \phi_1(\mathbf{r}_1)\phi_1(\mathbf{r}_2) + A_2 \phi_2(\mathbf{r}_1)\phi_2(\mathbf{r}_2)
 \end{aligned}$$

$$A_1 = \frac{1 + |S_{12}|}{\sqrt{2(1 + |S_{12}|^2)}} \frac{S_{12}^*}{|S_{12}|},$$

$$A_2 = \frac{1 - |S_{12}|}{\sqrt{2(1 + |S_{12}|^2)}} \frac{S_{12}}{|S_{12}|},$$

$$\phi_1 = \frac{1}{\sqrt{2(1 + |S_{12}|)}} \left\{ \frac{S_{12}}{|S_{12}|} \psi_1 + \psi_2 \right\}$$

$$\phi_2 = \frac{1}{\sqrt{2(1 + |S_{12}|)}} \left\{ \frac{S_{12}^*}{|S_{12}|} \psi_2 - \psi_1 \right\}$$

$$S_{12} = \langle \psi_1 | \psi_2 \rangle$$